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OWNING A SILENCER DOESN'T HAVE TO BE DIFFICULT.

SilencerCo's® SuppressEd EasyGuide provides you with the simplest and most pleasant silencer buying experience possible. Whether this is your first or fourteenth silencer, our EasyGuide removes the mystery and confusion of navigating the ownership process.

TERMS & TIPS

- 1 The BATFE, or ATF, is the Bureau of Alcohol, Tobacco, Firearms, & Explosives, a law enforcement agency under the U.S. Department of Justice.
- 2 The National Firearms Act (NFA) of 1934 was enacted by Congress to provide for the taxation of manufacturers, importers, and dealers in certain firearms and machine guns, to tax the sale or other disposal of such weapons, and to restrict importation and regulate interstate transportation thereof.

Should I Purchase As An Individual or A Trust?

To purchase a silencer, your dealer will legally transfer the silencer to you with approval from the BATFE.¹ You will need to decide if you want to purchase as an individual or through an NFA² trust.

INDIVIDUAL

EasyGuide Checklist Example Forms Blank Forms Purchasing a silencer as an individual is **convenient** and **quick**.

INDIVIDUAL BENEFITS

No Legal Costs Less Paperwork Smaller Margin of Error

TRUST

EasyGuide Checklist Example Forms Blank Forms Purchasing a silencer through an NFA trust provides *flexibility* and *peace of mind*.

TRUST BENEFITS

Multiple Person Ownership Add New Owners At Any Time Property Protection After Death



EasyGuide For Individuals Follow our step-by-step guide to transfer your new silencer as an individual.

- Use our example form to carefully complete (2) included BATFE Form 4s (5320.4)¹ and sign in blue or black ink
- Obtain (2) passport photos ² and affix one to each Form 4; do NOT staple
- ☐ Make **(1) photocopy** of your completed Form 4 with passport photo affixed
- ☐ Use our example form to carefully complete
 (2) FBI Form FD-258s³ in blue or black ink
- □ Identify your local Chief Law Enforcement Officer (CLEO)⁴
- Write a **\$200 check⁵** to the BATFE
- Use our included envelopes to **mail your completed** paperwork to the BATFE and your CLEO

Your dealer will notify you when your silencer is ready for pickup.

TERMS & TIPS

- 1 The primary transfer and registration application for all Title II NFA Weapons (the legal classification for a silencer).
- **2** Passport photos can be obtained through several convenient channels, including shipping centers and pharmacies. We recommend speaking with your dealer for local suggestions.
- **3** Fingerprint cards may be taken by any business or government agency who is properly equipped to take fingerprints. All fingerprint cards should be completed in blue or black ink.
- 4 A CLEO is as an officer of the law with authority over your county of residence's jurisdiction who is notified of your intent to own and has the authority to present ineligibilities to the BATFE. Since this authority is not exclusive, multiple people may qualify as your CLEO. Ask your dealer about eligible local contacts to help you narrow your search.
- 5 Payment may be credit or debit card, cash, check, or money order. We recommend checks because when it clears, you know your forms are being reviewed. We also recommend writing the serial number of your suppressor on the memo line.

INCLUDED EXAMPLE FORMS

BATFE Form 4 (5320.4) FBI Form FD-258

INCLUDED BLANK FORMS

(2) BATFE Form 4s (5320.4)

INDIVIDUAL EXAMPLE FORM BATFE FORM 5320.4

OMB No. 1140-0014 (xx/xx/xxxx)

U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives

Application for Tax Paid Transfer and Registration of Firearm

TF Control Number									
UBMIT in DUPLICAT	E to.	earms Act Branch		d Fundacis	D.O. Boy 520	209 Atlanta CA 20	252 0200		
. Type of Transfer (C.	Durcau of A					298, Atlanta, GA 30 de trade name, if an		ction 2d)	
_							/ (,	
	200	2000		NDIVIDI IN STRE					
ibmit the appropriate tax ne tax may be paid by cre					Y, UT 84116				
der. Please complete ite									
plication, we will affix a									
rearms Act stamp. (See	instructions 2b, 2i ai	id 3)						2b. County	
			INDIVI	DUAL	TRUST	or LEGAL ENTITY	7	SALT LA	KE
. Transferor's Name ar	nd Address (Include	trade name, if any)	(Executors	: see instr	uction 2k)				
IOE DEALED									
JOE DEALER 456 MAIN STRE	FT								
SALT LAKE CIT									
				3b. e-mai	il address (optiona	d) 3c.	Transferor's	Telephone (Area C	Code and Number)
							(XXX) XX	X-XXXX	
I. If Applicable: Deced	lent's Name. Address	s, and Date of Deat	h	3e. Nun	nber, Street, City.	State and Zip Code of			ess Premises) If
					erent from Item 3a				,
e above-named and und	ersigned transferor b	erehy makes annie	ation as rea	uired by S	ection 5812 of the	National Firearms A	ct to transfer	and register the fir	earm described
ow to the transferee.	ersigned transferor in	creby makes applie	ation as req	uned by St	ection 3812 of the	ivational i ficaritis A	ici to transici	and register the m	cariii described
Description of Firear	m (Complete items	a through h) (See ir	struction 2r	n)			el		
Name and Address o	f Maker, Manufactur	rer and/or		of Firearn		c. Caliber	22 S		
mnorter of Liragen									C O 11
Importer of Firearm			(See	definitions	,	or Gauge	Length	e. Of Barrel:	f. Overall:
SILENCERCO,	LLC		(366	definitions	,	Gauge	Length (Inches)	e. Of Barrel:	5.08"
SILENCERCO, 1 5511 S 6055 W					,	Gauge	(Inches)	e. Of Barrel:	1986 - 198
SILENCERCO,	CITY, UT 84118	g on Firearm (Attac	SILI	ENCER	,		g. Seria		1000 0000000000000000000000000000000000
SILENCERCO, 5511 S 6055 W WEST VALLEY	CITY, UT 84118 on or Data Appearing		SILI	ENCER	ecessary)	Gauge	g. Seria	Number	1986 - 198
SILENCERCO, 5511 S 6055 W WEST VALLEY Additional Description Transferee's Federal	CITY, UT 84118 on or Data Appearing	f any)	SILI	ENCER	ecessary) 6. Transferee	Gauge 22LR	g. Seria XXX onal) Tax Stat	Number	1000 0000000000000000000000000000000000
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INDIVIDUAL EXAMPLE FORM BATFE FORM 5320.4

SuppressEd

EasyGuide

Transferee Certification	
12. Law Enforcement Notification (See instruction 2f)	
The transferee is to provide notification of the proposed acquisition and possession of the f completed form to the chief law enforcement officer in the agency identified below:	irearm described on this Form 4 by providing a copy of the
Agency or Department Name Name and Title	of Official
UTAH HIGHWAY PATROL JAMES OFF	FICER, LAW ENFORCEMENT OFFICIAL
Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered))	
789 MAIN STREET SALT LAKE CITY, UT 84116	
Information for the Chief Law Enforce	ement Officer
This form provides notification of the transferee's intent to acquire and possess a National Firearms Auhave information that may disqualify this person from acquiring or possessing a firearm, please contactitems 14.a through 14.h or 16.a or 16.b could disqualify a person from acquiring or possessing a firear of the firearm is in violation of State or local law.	et the NFA Branch at (304) 616-4500 or NFA @atf.gov. A "Yes" answer to
13. Transferee Necessity Statement (See instruction 2e)	
I, JOHN INDIVIDUAL , have a reasonable	le necessity to possess the machinegun, short-barreled rifle,
(Name and Title of Transferee) short-barreled shotgun, or destructive device described on this application for the following reas	on(s) ALL LAWFUL PURPOSES
and my possession of the device or weapon would be consistent with public safety (18 U.S.C. § Transferee Questions (Complete Only When Transferee is An Individual)	
 Answer questions 14.a. through 14.h. Answer questions 16 through 17 if applicable. For any "Young instruction 7b and definitions) 	es" answer the transferee shall provide details on a separate sheet. (See Yes No 15. Photograph
 Are you under indictment or information in any court for a felony, or any other crime, for which could imprison you for more than one year? (See definition 1m) 	
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge coul imprisoned you for more than one year, even if you received a shorter sentence including probation?	
c. Are you a fugitive from justice? (See definitions 1s)	0
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic dru controlled substance? Warning: The use or possession of marijuana remains unlawful unde regardless of whether it has been legalized or decriminalized for medicinal or recreational the state where you reside.	er Federal law
e. Have you ever been adjudicated as a mental defective OR have you ever been committed to a m institution? (See definitions In and Io)	ental O •
f. Have you been discharged from the Armed Forces under dishonorable conditions?	
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your chi intimate partner or child of such partner? (See definition 1p)	ild or an O
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See	
16a. Country of Citizenship (Check/List more than one, if applicable. Nationals of the United States United States of America Other Country/Countries (specify):	s may check U.S.A.) (See definition 1r)
United States of America Other Country/Countries (specify):	
Have the same and the same trained Cooks at the same in 9	Yes No
b. Have you ever renounced your United States citizenship? Are you an alien Illegally or unlawfully in the United States?	O •
1.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?	0
1.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the document	ation to the application
7. If you are an alien. record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#)	
CERTIFICATION: Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify form will be directed to the chief law enforcement officer (CLEO) shown in item 12, that the sta documents in support thereof, are true and correct to the best of my knowledge and belief. NO depending on the type of transferee.	that, upon submission of this form to ATF, a completed copy of this tements, as applicable, contained in this certification, and any attached
	M/DD/YYYY
Signature of Transferee ATF Copy Date	ATF E-Form 4 (5320.4) Revised May 2016

INDIVIDUAL EXAMPLE FORM BATFE FORM 5320.4

18. Number of Responsible Persons (see definitions) ass	sociated with the	transferee trust or legal	entity		
 Provide the full name (printed or typed) below for expensible Persons than can be listed on the form, Form 5320.23, National Firearms Act (NFA) Responserson. 	ach Responsible attach a separate	Person associated with sheet listing the addition	the applicant trust or onal Responsible Pers	son(s)). Please note the	hat a completed
Full Name		Full Name			
					2
20. Method of Payment (Check one) (See instruction 2i) (if p	paying by credit/de	ebit card, complete the sect	ions below)		
Check (Enclosed) Cashier's Check or Money Order (Enclosed)	O Visa	Mastercard Mastercard	American Express	Discover	Diners Clu
Credit/Debit Card Number (No dashes)		Name as Printed on the	Credit/Debit Card	Expiration	n Date (Month & yea
Address:					
Credit/Debit Card Billing Address: City:	State:		Zip	Code:	
				Total Amount:	
TA de la ATTRA CIA				\$ 200.00	
I Authorize ATF to Charge my Credit/Debit Card the Tax Amo	unt.				
Signature of Cardholder				Date	
Your credit/debit card will be charged the above stated amount In the event your application is NOT approved, the above amou				credit/debit card statem	nent.
Impo		n for Currently Registere the following information.	ed Firearms		
Estate Procedures: For procedures regarding the transfer of fi NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explo				d in item 2a, the executor	or should contact the
Change of Address: Unless currently licensed under the Gun e 244 Needy Road, Martinsburg, WV 25405, in writing, of any cl			A Branch, Bureau of A	lcohol, Tobacco, Fireari	ms, and Explosives,
Change of Description: The registrant shall notify the NFA B writing, of any change to the description of the firearm(s) in iter		Alcohol, Tobacco, Firearms	and Explosives, 244 N	eedy Road, Martinsburg	g, WV 25405, in
Interstate Movement: If the firearm identified in item 4 is a n by 18 U.S.C. § 922(a)(4) to obtain permission from ATF prior t permission.					
Restrictions on Possession: Any restriction (see approval blocifirearm.	ck on face of form)	on the possession of the fi	rearm identified in item	4 continues with the fu	rther transfer of the
Persons Prohibited from Possessing Firearms: If the registral dispose of the firearm.	ant becomes prohib	pited from possessing a fire	earm, please contact the	NFA Branch for proced	lures on how to
Proof of Registration: A person possessing a firearm register request.	ed as required by the	ne NFA shall retain proof o	of registration which sha	ill be made available to	any ATF officer upo
This form meets the clearance requirements of the Paperwork R Data is used to identify transferor, transferee, and firearm, and to (26 U.S.C. § 5812).	Reduction Act of 19				
The estimated average burden associated with this collection of concerning the accuracy of this burden estimate and suggestion Coordination Staff, Bureau of Alcohol, Tobacco, Firearms and	for reducing this b	ourden should be addressed			
An agency may not conduct or sponsor, and a person is not requ	uired to respond to	, a collection of informatio	n unless it displays a cu	rrently valid OMB cont	rol number.
An agency may not conduct or sponsor, and a person is not requ	uired to respond to	, a collection of informatio	n unless it displays a cu	rrently valid OMB cont	rol numb

ATF Copy

INDIVIDUAL EXAMPLE FORM BATFE FORM 5320.4

Privacy Act Information

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552(e)(3)):

- 1. Authority. Solicitation of this information is made pursuant to the National Firearms Act (26 U.S.C. §5812). Disclosure of this information by the applicant is mandatory for transfer of an NFA firearm, unless the transfer is otherwise exempt from tax.
- 2. Purpose. To insure payment of the tax imposed by 26 U.S.C. § 5811; to insure that the transfer would not be in violation of law; and to effect registration of the firearm.
- 3. Routine Uses. The information will be used by ATF to make the determinations set forth in paragraph 2. In addition, to effect registration of the firearm, information as to the identification of the firearm, date of registration, and the identification and address of person entitled to possess the firearm will be entered into the National Firearms Registration and Transfer Record. No information obtained from an application, registration, or records required to be submitted by an individual in order to comply with any provision of the National Firearms Act or regulations issued thereunder, shall, except in connection with prosecution or other action for furnishing information, be used, directly or indirectly, as evidence against that person in any criminal proceeding with respect to a violation of law occurring prior to or concurrently with the filing of the application. The information from this application may only be disclosed to Federal authorities for purposes of prosection for violation of the National Firearms Act.
- 4. Effects of not Supplying Information Requested. Failure to supply complete information will delay processing and may cause denial of the application.

Definitions/Instructions

ATF E-Form 4 (5320.4)

Revised May 2016

- a. National Firearms Act (NFA). Title 26. United States Code, Chapter 53. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 479.
- Gun Control Act (GCA). Title 18, United States Code, Chapter 44. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 478.
- Firearm. The term "firearm" means: (1) a shotgun having a barrel or barrels of less than 18 inches in length; (2) a weapon made from a shotgun if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 18 inches in length; (3) a rifle having a barrel or barrels of less than 16 inches in length; (4) a weapon made from a rifle if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 16 inches in length; (5) any other weapon as defined in 26 U.S.C. § 5845(e); (6) a machinegun; (7) a muffler or silencer for any firearm whether or not such firearm is included within this definition; and (8) a destructive device.
- d. Person. A partnership, company, association, trust, corporation, including each responsible person associated with such an entity; an estate; or an individual.
- e. Responsible Person. In the case of an unlicensed entity, including any trust, partnership, association, company (including any Limited Liability Company (LLC)), or corporation, any individual who possesses, directly or indirectly, the power or authority to direct the management and policies of the trust or entity to receive, possess, ship, transport, deliver, transfer or otherwise dispose of a firearm for, or on behalf of, the trust or legal entity. In the case of a trust, those persons with the power or authority to direct the management and policies of the trust includes any person who has the capability to exercise such power and possesses, directly or indirectly the power or authority under any trust instrument, or under State law, to receive possess, ship, transport, deliver, transfer, or otherwise dispose of a firearm for, or on behalf of the trust. Examples of who may be considered a responsible person include settlors/grantors, trustees, partners, members, officers, directors, board members, or owners. An example of who may be excluded from this definition of responsible person is the beneficiary of a trust, if the beneficiary does not have the capability to exercise the enumerated powers or authorities.
- Employer Identification Number (EIN). Required of taxpayer filing special (occupational) tax returns under 27 CFR § 479.35.
- Special (Occupational) Tax. Required by the NFA to be paid by a Federal firearms licensee engaged in the business of manufacturing (Class 2), importing (Class 1), or dealing (Class 3) in NFA firearms.
- h. Federal Firearms License. A license issued under the provisions of the GCA to manufacture, import or deal in firearms.
- ATF Officer. An officer or employee of the Bureau of Alcohol, Tobacco. Firearms and Explosives (ATF) authorized to perform any function relating to the administration of the NFA.

- Transfer. Selling, assigning, pledging, leasing, loaning, giving away, or otherwise disposing of a firearm
- k. Transferor. The person selling or otherwise disposing of a firearm; including applying to transfer a firearm.
- 1. Transferee. The person acquiring the firearm.
- m. Prohibited Person. Generally, 18 U.S.C. § 922 (g) prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who: has been convicted of a misdemeanor crime of domestic violence; has been convicted of a felony, or any other crime, punishable by imprisonment for a term exceeding one year (this does not include State misdemeanors punishable by imprisonment of two years or less); is a fugitive from justice; is an unlawful user of, or addicted to, marijuana or any depressant, stimulant, or narcotic drug, or any other controlled substance; has been adjudicated as a mentally defective or has been committed to a mental institute; has been discharged from the Armed Forces under dishonorable conditions; has renounced his or her U.S. citizenship; is an alien illegally in the United States or an alien admitted to the United States under a nonimmigrant visa; or is subject to certain restraining orders. Furthermore, Section 922 (n) prohibits the shipment, transportation, or receipt in or affecting interstate commerce of a firearm by one who is under indictment or information for a felony in any Federal, State or local court, or any other crime, punishable by imprisonment for a term exceeding one year. An information is a formal accusation of a crime verified by a prosecutor.

EXCEPTION: A person who has been convicted of a felony, or any other crime, for which the judge could have imprisoned the person for more than one year, or who has been convicted of a misdemeanor crime of domestic violence, is not prohibited from purchasing, receiving, or possessing a firearm if: (1) under the law of the jurisdiction where the conviction occurred, the person has been pardoned, the conviction has been expunged or set aside, or the person has had their civil rights (the right to vote, sit on a jury, and hold public office) taken away and later restored AND (2) the person is not prohibited by the law of the jurisdiction where the conviction occurred from receiving or possessing firearms. Persons subject to this exception should mark "no" in the applicable box.

- n. Adjudicated As a Mental Defective. A determination by a court, board, commission, or other lawful authority that a person, as a result of marked subnormal intelligence, or mental illness, incompetency, condition, or disease: (1) is a danger to himself or to others; or (2) lacks the mental capacity to contract or manage his own affairs. This term shall include: (1) a finding of insanity by a court in a criminal case; and (2) those persons found incompetent to stand trial or found not guilty by reason of lack of mental responsibility.
- o. Committed to a Mental Institution. A formal commitment of a person to a mental institution by a court, board, commission, or other lawful authority. The term includes a commitment to a mental institution involuntarily. The term includes commitment for mental defectiveness or mental illness. It also includes commitments for other reasons, such as for drug use. The term does not include a person in a mental institution for observation or a voluntary admission to a mental institution.

INDIVIDUAL EXAMPLE FORM BATFE FORM 5320.4

EXCEPTION NICS Improvement Amendments Act of 2007: A person who has been adjudicated as a mental defective or committed to a mental institution in a state proceeding is not prohibited by the adjudication or commitment if the person has been granted relief by the adjudicating/committing state pursuant to a qualifying mental health relief from disabilities program. Also a person who has been adjudicated as a mental defective or committed to a mental institution by a department or agency of the Federal Government is not prohibited by the adjudication or commitment if either: (a) the person's adjudication or commitment was set-aside or expunged by the adjudicating/committing agency; (b) the person has been fully released or discharged from all mandatory treatment, supervision, or monitoring by the agency; (c) the person was found by the agency to no longer suffer from the mental health condition that served as the basis of the initial adjudication/commitment; or (d) the adjudication or commitment, respectively is based solely on a medical finding of disability. without an opportunity for a hearing by a court, board, commission, or other lawful authority, and the person has not been adjudicated as a mental defective consistent with 922(g)(4) of title 18, United States code; or (e) the person was granted relief from the adjudicating/committing agency pursuant to a qualified mental health relief from disabilities program. Persons who fall within one of the above exceptions should mark "no" in the applicable box. This exception to an adjudication or commitment by a Federal department or agency does not apply to any person who was adjudicated to be not guilty by reason of insanity, or based on a lack of mental responsibility or found incompetent to stand trial, in any criminal case or under the Uniform Code of Military Justice.

- p. Restraining Order. Under 18 U.S.C. § 922, firearms may not be sold to or received by persons subject to a court order that: (A) was issued after a hearing which the person received actual notice of and had an opportunity to participate in; (B) restrains such person from harassing, stalking, or threatening an intimate partner or child of such intimate partner or person, or engaging in other conduct that would place an intimate partner in reasonable fear of bodily injury to the partner or child; and (C)(i) includes a finding that such person represents a credible threat to the physical safety of such intimate partner or child; or (ii) by its terms explicitly prohibits the use, attempted use, or threatened use of physical force against such intimate partner or child that would reasonably be expected to cause bodily injury. An "intimate partner" of a person is: the spouse or former spouse of the person, the partner of a child of the person, or an individual who cohabitates or has cohabitated with the person.
- q. Misdemeanor Crime of Domestic Violence. A Federal, State, local, tribal offense that is a misdemeanor under the Federal, State or tribal law and has, as an element, the use or attempted use of physical force, or the threatened use of a deadly weapon, committed by a current or former spouse, parent, or guardian of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, parent, or guardian of the victim. The term includes all misdemeanors that have as an element the use or attempted use of physical force or the threatened use of a deadly weapon (e.g., assault and battery), if the offense is committed by one of the defined parties. (See Exception in the definition of "Prohibited Person"). A person who has been convicted of a misdemeanor crime of domestic violence also is not prohibited unless; (1) the person was represented by a lawyer or gave up the right to a lawyer; or (2) if the person was entitled to a jury, was tried by a jury, or gave up the right to a jury trial. Persons subject to this exception should mark "no" in the applicable box.
- Alien Admitted to the United States Under a Nonimmigrant Visa. An alien admitted to the United States under a nonimmigrant visa includes, among others, persons visiting the United States temporarily for business or pleasure, persons studying in the United States who maintain a residence abroad, and certain temporary foreign workers. These aliens must answer "yes" to question 16.d.1 and provide the additional documentation required under question 16.d.2. Permanent resident aliens and aliens legally admitted to the United States pursuant either the Visa Waiver Program or to regulations otherwise exempting them from visa requirements may answer "no" to this question and are not required to submit the additional documentation under 16.d.2. An alien admitted to the United States under nonimmigrant visa is not prohibited from purchasing, receiving, or possessing a firearm if the alien; (1) is in possession of a hunting license or permit lawfully issued by the Federal Government, a State, or local government, or an Indian tribe federally recognized by the Bureau of Indian Affairs, which is valid and unexpired; (2) was admitted to the United States for lawful hunting or sporting purposes; (3) is an official representative of a foreign government who is accredited to the United States Government or the Government's mission to an international organization

having its headquarters in the United States; (4) is an official representatives of a foreign government who is en route to or from another country to which that alien is accredited; (5) is an official of a foreign government or a distinguished foreign visitor who has been so designated by the Department of State; (6) is a foreign law enforcement officer of a friendly foreign government entering the United States on official law enforcement business; (7) has received a waiver from the prohibition from the Attorney General of the United States.

s. Fugitives from Justice. Any person who has fled from any State to avoid prosection for a felony or a misdemeanor, or any person who leaves the State to avoid giving testimony in any criminal proceeding. The term also includes any person who knows that misdemeanor or felony charges are pending against such person and who leaves the State of prosection.

Preparation of Application

- a. Authority. As provided by 26 U.S.C. §§ 5811 and 5812, any person seeking to transfer a firearm must submit, in duplicate, a separate application on this form for each firearm. The transferor must furnish all the information called for, except as noted by instructions within, on this application form. Please note that the form now contain a 3rd (CLEO) copy of the form for use in compliance with instruction 2f and item 12 of the form.
- b. Transfer Tax Rates. The transfer tax is \$200.00 except when the firearm is an 'any other weapon' where the tax rate is \$5.00.
- c. Federal Firearms Licensees. If the transferor or transferee is a Federal Firearms licensee (FFL) under the GCA, the licensee's complete name, trade name (if any), and address shall be entered in items 2a or 3a of this form, respectively. The complete license number for each shall be entered in items 5 and 7 of the form and if the licensee is a special (occupational) taxpayer under the NFA, the licensee's employer identification number (EIN) shall be entered in item 6 and 8 of the form along with the class of special tax paid. IMPORTANT NOTE: The business structure of the licensee shall be described consistently. For example, if the transferor is a sole proprietor, item 3a shall reflect the FFL information for the sole proprietor business and item 7 shall reflect the FFL number for that sole proprietor business. Item 8 will reflect the EIN for the sole proprietor business. If the special tax is paid as a corporation, then it is not valid for the transaction. Similarly, a corporation name shown in item 3a with the FFL number and EIN of a sole proprietor will not be valid for the transaction.

d. Completion of Form.

- (1) The transferor shall provide the transferee's complete name and physical address (no Post Office boxes) in item 2a. If the transferee is a trust or legal entity, show only the complete name of the trust or legal entity and do not include any individual names (such as names of trustees or corporate officials). The address shall be the location within the particular state where the firearm will be maintained for a trust or legal entity. In the case of two or more locations for a legal entity, the address shown shall be the principal place of business within the particular state (or principal office, in the case of a corporation).
- (2) If the transferee is an individual, the entire Form 4 shall be completed except for items 18 and 19. In addition, the transferee must include his or her fingerprints on FBI Form FD-258 and his or her photos (see instruction 2g).
- (3) If the transferee is other than an individual, e.g., a trust or legal entity such as a corporation, the transferee shall not complete items 14, 15, 16, and 17. All other items must be completed including the signing of the Transferee Certification statement by the transferee.
- (4) Documentation of entity existence:
- (a) If the transferee is other than an individual, the transferee must attach documentation evidencing the existence and validity of the entity, which includes complete and unredacted copies of partnership agreements, articles of incorporation, corporate registration, declarations of trust with any trust schedules, attachments, exhibits, and enclosures.
- (b) If the transferee entity has had an application approved as a maker or transferee within the preceding 24 months of the date of filing this application, and there has been no change to the documentation evidencing the existence and validity of the entity previously provided, the entity may provide a certification that the information has not been changed since the prior approval and shall identify the application for which the documentation had been submitted by form number, serial number, and date approved.
- (5) If the transferee is other than an individual, each responsible person of the trust or legal entity (See definition 1e) must include a completed ATF Form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire, with the submitted Form 4.

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- (6) Item 17 (Method of Payment) is obscured on the ATF Copy 2 (Registrant) and the CLEO copy. In addition, item 4g (serial number) is obscured on the CLEO copy. These fields do not require completion on these copies.
- Transferee Necessity Statement. Item 13 must be completed by the transferee if:
 - the firearm to be transferred is a machinegun, short-barreled rifle, shortbarreled shotgun, or destructive device;
 - (2) the transferor is licensed under the GCA to deal in such device or firearm; and
 - (3) the transferee is **not** licensed under the GCA to deal in such device or firearm
- f. Law Enforcement Notification. The transferee must provide a copy of the Form 4 to the chief law enforcement officer (CLEO) who has jurisdiction over the area of the transferee's address shown in item 2a of the Form 4. In addition, if the transferee is other than an individual, a copy of the Form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire, completed by each responsible person must be provided to their respective chief law enforcement officer. The chief law enforcement officer is considered to be the Chief of Police; the Sheriff; the Head of the State Police; or a State or local district attorney or prosecutor..
- g. Photographs and Fingerprints. An individual transferee, except if licensed as a manufacturer, importer, or dealer under the GCA, must (1) attach to item 15 of the ATF Form 4, a 2 inch x 2 inch photograph of the frontal view of the transferee taken within 1 year prior to the date of the application and (2) submit two properly completed FBI Forms FD-258 (Fingerprint Card with blue lines) with the application. The fingerprints must be clear for accurate classification and taken by someone properly equipped to take them.
- Signatures. All signatures required on ATF Form 4 must be original in ink on both copies.
- (1) if the applicant is an individual, the applicant shall sign the form;
- (2) if the applicant is the estate of a decedent where the firearm is being transferred to other than a beneficiary, the executor or administrator of the estate shall sign the form;
- (3) if the applicant is a trust or legal entity, a responsible person of the trust or legal entity shall sign the form; or
- (4) if the applicant is a Federal firearms licensee, a responsible person of the Federal firearms licensee shall sign the form
- Remittance. The applicant shall complete item 20. Please note that you
 may pay by credit/debit card, check, or money order. The check or money
 order is to be made payable to ATF. <u>Do not send cash</u>.
- Photocopies or Computer Generated Versions or Downloaded Version from ATF Website. After downloading or copying and printing this form, ensure that the front and back are on the same sheet of paper. The NFA Branch will not approve the application if the front and back are on separate sheets of paper.
- k. Estates, Trusts, and Other Transfers by Operation of Law. When a firearm is being transferred tax exempt from an estate by bequest or interstate succession (See 27 CFR § 479.90a), or by other operation of law to a beneficiary or other authorized recipient, ATF Form 5 is used to effect the transfer otherwise, Form 4 is used. See ATF Form 5 for additional information.
- Submission. The transferor shall submit 2 complete forms (ATF copy 1 and Copy 2 (Registrant)) to the NFA Branch at the address on the face of the form. All items on the form are to be completed except as noted in the instructions and any attachment included with the submission. The applicant shall direct the 3rd copy (CLEO) complete copy of the form to the chief law enforcement officer as provided in instruction 2f and item 12.
- m. Description of Firearm and Markings. (1) Item 4a. please provide the name and address of the maker, manufacturer or importer of the firearm. If there are additional makers, manufacturers, or importers, include this information in item 4h or on a separate sheet of paper. (2) Item 4b. the types of NFA firearm are listed in instruction 1c. (3) Item 4c. specify one caliber or gauge. If there are additional calibers associated with the description of the firearm, include this information in item 4h or on a

separate sheet of paper (4) Item 4d. show the model designation (*if any*). (5) Items 4e. and 4f. specify the barrel length and overall lengths as applicable. If there are additional barrel and overall lengths associated with the description of the firearm, include this information in item 4h. or on a separate sheet of paper. (6) Item 4g. enter the serial number of the firearm as it appears on the firearm. (7) If there are differences between the description of the firearm or in the markings on the firearm, including the serial number, contact the NFA Branch in regard to these differences.

- n. State or Local Permit. If a State or local permit or license is required for the transferee prior to acquisition of the firearm, a copy of the permit must be included with the application. If the transferee is a trust or legal entity, when the State of residence for any responsible person requires a State or local permit or license, a copy of the permit or license must be submitted with Form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire.
- Approval of Application. Upon approval of an application, the NFA Branch will return the approved copy to the transferor for delivery with the firearm to the transferee. Since the approval of the application effectuates registration of the firearm to the transferee, the physical transfer of the firearm must be completed immediately; however, the transferor must not transfer the firearm until the application has been approved and received. If the physical transfer of the firearm cannot be completed immediately, the transferor must contact the NFA Branch with the specifics.
- Withdrawal of Application. The transferor may withdraw an application prior to approval subsequent to a written request to the Chief, NFA Branch, 244 Needy Road, Martinsburg, WV. 25405. The NFA Branch will arrange for a refund of the tax paid.
- 5. Cancellation of Approved Application. The transferor may cancel an approved application only if the physical transfer of the firearm has not been completed. The transferor must return the approved application with the original tax stamp affixed with a written request for cancellation, citing the need and that the physical transfer of the firearm did not take place. The request shall be directed to the Chief, NFA Branch, 244 Needy Road, Martinsburg, WV. 25405. The NFA Branch will arrange for a refund of the tax paid.
- Disapproval of Application. If the application is disapproved, the NFA Branch
 will note the reason for disapproval on the application and return one copy of
 the ATF Form 4 to the transferor.
- Reasons for Disapproval. 26 U.S.C. § 5812 provides that applications shall be denied if the transfer, receipt, or possession of the firearm would place the transferee in violation of law.
- Violation of the Law. Applications shall be denied if the receipt or
 possession of the firearm would place the person receiving or possessing
 the firearm in violation of the law.
- b. Persons Prohibited from Receiving a Firearm. The application will be disapproved if the transferee is a person prohibited from receiving a firearm. For information regarding persons prohibited from receiving a firearm, refer to definitions 1m though 1r.
- Status Inquiries and Questions. Information relating to the NFA and other firearms laws is available at the ATF Internet website at www.atf.gov. Any inquiry relating to the status of an application to transfer an NFA firearm or about procedures in general should be directed to the NFA Branch at (304) 616-4500. Please be aware that any dissemination by ATF of information relating to the application to register an NFA firearm must conform with the restrictions in 26 U.S.C. § 6103.
- Penalties. Any person who violates or fails to comply with any of the requirements of the NFA shall, upon conviction, be fined not more than \$10,000 or be imprisoned for not more than 10 years, or both. Any firearm involved in a violation of the NFA shall be subject to seizure and forfeiture. It is unlawful for any person to make or cause the making of a false entry on any application or record required by the NFA knowing such entry to be false.
- 10. Compliance with the Gun Control Act. Person must also comply with all relevant portions of the GCA

TYPE OR PRINT ALL INFORMATION IN BLACK LAST NAME NAM FIRST NAME MIDDLE NAME LEAVE BLANK LEAVE BLANK **APPLICANT** INDIVIDUAL JOHN DOE FD-258 (Rev 9-9-13) 1110-0046 SIGNATURE OF PERSON FINGER JOHN INDIVIDUAL RESIDENCE OF PERSON FINGE 123 MAIN STREET XX XX XXXX **SALT LAKE CITY, UT 84116** M W 6'4" 200 BLU BRO SALT LAKE CITY, UT **U.S. CITIZEN** OE OFFICER BINO. FBI **UTAH HIGHWAY PATROL 456 MAIN STREET** RMED FORCES NO. MNU **SALT LAKE CITY, UT 84116** XXX-XX-XXXX SILENCER PURCHASE B. INDEX R MIDDLE B.LITTLE

FEDERAL BUREAU OF INVESTIGATION UNITED STATES DEPARTMENT OF JUSTICE CJIS DIVISION/CLARKSBURG, WV 26306

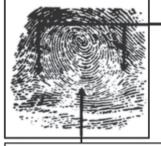
1.LOOP

APPLICANT

CENTER OF LOOP DELTA

THE LINES BETWEEN CENTER OF LOOP AND DELTA MUST SHOW

2.WHORL



THESE LINES RUNNING BETWEEN DELTAS MUST BE CLEAR

3. ARCH



ARCHES HAVE NO DELTAS

FD-258 (REV. 9-9-13)

THIS CARD FOR USE BY:

- 1. LAW ENFORCEMENT AGENCIES IN FINGERPRINTING APPLICANTS FOR LAW ENFORCEMENT POSITIONS.*
- 2. OFFICIALS OF STATE AND LOCAL GOVERNMENTS FOR PURPOSES OF EMPLOYMENT, LICENSING, AND PERMITS, AS AUTHORIZED BY STATE STATUTES AND APPROVED BY THE ATTORNEY GENERAL OF THE

UNITED STSTES. LOCAL AND COUNTY ORDINANCES, UNLESS SPECIFICALLY BASED ON

- APPLICABLE STATE STATUTES DO NOT SATISFY THIS REQUIREMENT." 3. U.S. GOVERNMENT AGENCIES AND OTHER ENTITIES REQUIRED BY FEDERAL LAW.**
- 4. OFFICIALS OF FEDERALLY CHARTERED OR INSURED BANKING INSTITUTIONS TO PROMOTE OR MAINTAIN
- THE SECURITY OF THOSE INSTITUTIONS.

with a helpful information to aid in the successful processing of hard copy criminal and civil fingerprint submissions in order to prevent delays.

Hard copy fingerprint submissions must meet specific criteria for processing by the Federal Bureau of Investigation.

Ensure all information is typed or legibly printed using blue or black link.

Enter data within the boundaries of the designated field or block.

Complete all required fields. (if a required field is left blank, the fingerprint card may be immediately rejected without further processing.)

The required fields. (if a required field is left blank, the fingerprint card way be immediately rejected without further processing.)

The required fields. (if a required field is left blank, the fingerprint cards are: originating agency identifier number - date of brint - place of brint - place of brint - place or plac

* criminal fingerprint cards also require an arrest charge and date of arrest.
* civil fingerprint cards also require a reason fingerprinted and date fingerprinted

Do not use highlighters on fingerprint cards.
Do not enter data or labels within 'Leave Blank' areas.
Ensure the 'Reply Desired' field is checked when applicable (criminal only).
Ensure fingerprint impressions are rolled completely from nail to nail.
Ensure fingerprint impressions are in the correct sequence.
Ensure notations are made for any missing fingerprint impression (i.e. amputation)
Do not use more than two retabs per fingerprint impression block.
Ensure no stray marks are within the fingerprint impression blocks.

Training aids can be ordered online via the Internet by accessing the FBI's website at: fbi.gov, click on 'Fingerprints', then click on 'Ordering Fingerprint Cards & Training Aids'. Direct questions to the Identification and Investigative Services Section's Customer Service Group at (304) 625-5590 or by e-mail at claison@leo.gov>.

PRIVACY ACT STATEMENT

DELTAS

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub.L. 92-544, Presidential Executive Orders, and federal. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Social Security Account Number (SSAN). Your SSAN is needed to keep records accurate because other people may have the same name and birth date. Pursuant to the Federal Privacy Act of 1974 (5 USC 552a), the requesting agency is responsible for informing you whether disclosure is mandatory or voluntary, by what statutory or other authority your SSAN is solicited, and what uses will be made of it. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

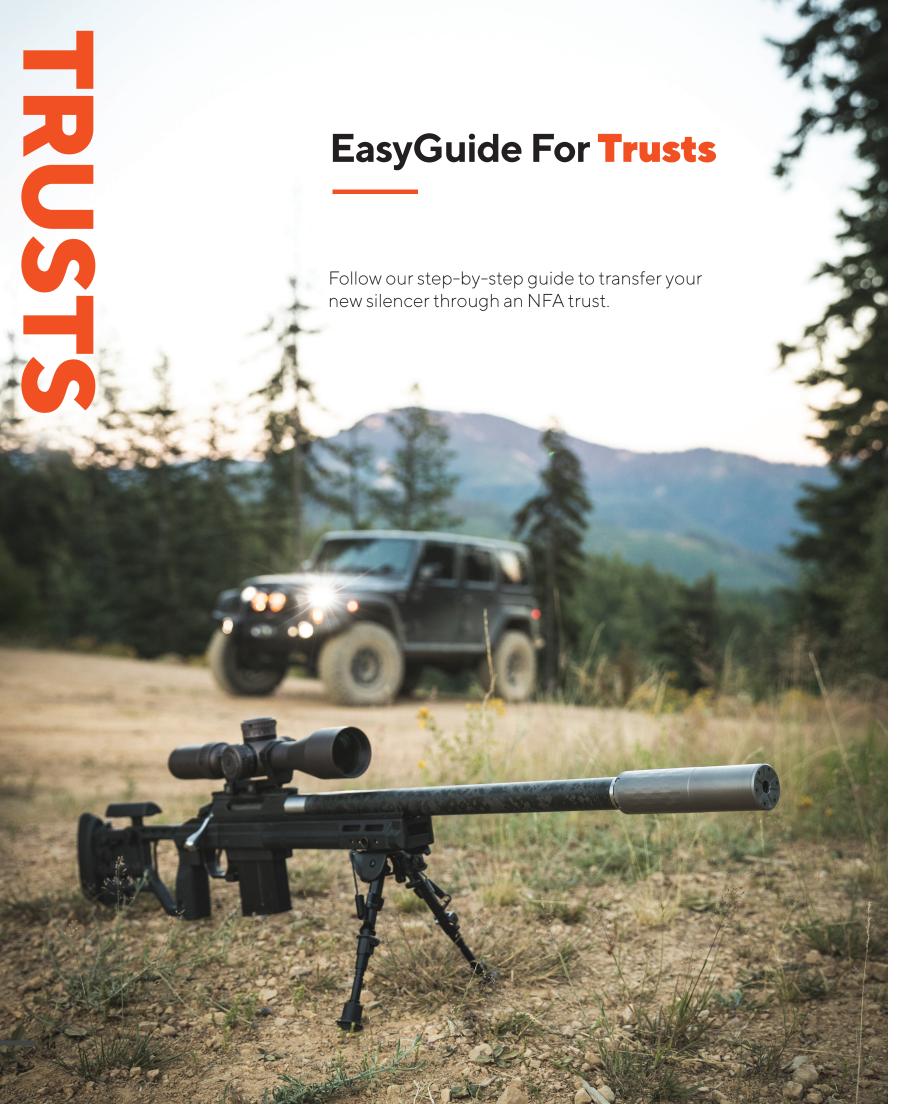
Principal Purpose: Certain determinations, such as employment, licensing, and socurity clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints. He FBIs Next Generation identification (NGI) system or its successor systems (including dviil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Rountine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental apencies responsible for employment, contracting licensing, socurity clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

Additional Information: The requesting agency and/or the agency conducting the application-investigation will provide you additional information pertinent to the specific circumstances of this application, which may include identification of other authorities, purposes, uses, and consequences of not providing requested information. In addition, any such agency in the Federal Executive Branch has also published notice in the Federal Register describing any system(s) of records in which that agency may also maintain your records, including the authorities, purposes, and routine uses for the system(s).

INSTRUCTIONS:

- * 1. PRINTS MUST GENERALLY BE CHECKED THROUGH THE APPROPRIATE STATE IDENTIFICATION BUREAU, AND ONLY THOSE FINGERPRINTS FOR WHICH NO DISQUALIFYING RECORD HAS BEEN FOUND LOCALLY SHOULD BE SUBMITTED FOR FBI SEARCH
- 2. IDENTITY OF PRIVATE CONTRACTORS SHOULD BE SHOWN IN SPACE "EMPLOYER AND ADDRESS". THE
- CONTRIBUTOR IS THE NAME OF THE AGENCY SUBMITTING THE FINGERPRINT CARD TO THE FBI.
- 3. FBI NUMBER, IF KNOWN, SHOULD ALWAYS BE FURNISHED IN THE APPROPRIATE SPACE.
- ** MISCELLANEOUS NO. RECORD: OTHER ARMED FORCES NO. PASSPORT NO. [FP], ALIEN REGISTRATION NO. (AR), PORT SECURITY CARD NO. (PS), SELECTIVE SERVICE NO. (SS) VETERANS' ADMINISTRATION CLAIM NO. (VA).



- ☐ Use our example form to carefully complete **(2) included BATFE Form 4s (5320.4)**¹and sign in blue or black ink
- ☐ Make (1) photocopy of your completed Form 4
- ☐ Make (1) photocopy of your trust documentation
- ☐ Identify **all responsible persons**² (including yourself) and per person:
 - ☐ Use our example form to carefully complete (1) included BATFE Form 5320.23³ and sign in blue or black ink for each responsible person
 - Obtain (1) passport photo 4 and affix to Form 5320.23 (do NOT staple) for each responsible person
 - ☐ Make **(1) photocopy** of your completed Form 5320.23, with passport photo affixed, for each responsible person
 - Use our example form to carefully complete
 (2) FBI Form FD-258s⁵ in blue or black ink for each responsible person
- ☐ Identify your local **Chief Law Enforcement Officer (CLEO)**
- □ Write a **\$200 check**⁷ to the BATFE
- Use our included envelopes to **mail your completed**paperwork to the BATFE and your CLEO⁸

Your dealer will notify you when your silencer is ready for pickup.

INCLUDED EXAMPLE FORMS

BATFE Form 4 (5320.4) BATFE Form 5320.23 FBI Form FD-258

INCLUDED BLANK FORMS

(2) BATFE Form 4s (5320.4) (3) BATFE Form 5320.23s

TERMS & TIPS

- 1 The primary transfer and registration application for all Title II NFA Weapons (the legal classification for a silencer).
- 2 In the case of a trust, those persons with the power or authority to direct the management and policies of the trust include any person who has the capability to exercise such power and possesses, directly or indirectly, the power or authority under any trust instrument, or under State law, to receive, possess, ship, transport, deliver, transfer, or otherwise dispose of a firearm for, or on behalf of, the trust. We highly recommend that you speak with an attorney to clarify and ensure you avoid delays.
 - Examples of who may be considered a responsible person of a trust include settlors, grantors, trustees, or beneficiaries, if said beneficiary has the capability to exercise any of the powers or authorities enumerated above.
- **3** Form 5320.23 is the NFA Responsible Person Questionnaire. This form must be completed by all responsible persons, including the grantor of the NFA trust.
- 4 Passport photos can be obtained through several convenient channels, including shipping centers and pharmacies. We recommend speaking with your dealer for local suggestions.
- 5 Fingerprint cards may be taken by any business or government agency who is properly equipped to take fingerprints. All fingerprint cards should be completed in blue or black ink.
- 6 A CLEO is as an officer of the law with authority over your county of residence's jurisdiction who is notified of your intent to own and has the authority to present ineligibilities to the BATFE. Since this authority is not exclusive, multiple people may qualify as your CLEO. Ask your dealer about eligible local contacts to help you narrow your search.
- 7 Payment may be credit or debit card, cash, check, or money order. We recommend checks because when it clears, you know your forms are being reviewed. We also recommend writing the serial number of your suppressor on the memo line.
- 8 If a responsible person lives outside the jurisdiction of the CLEO for where the NFA item will be maintained, that responsible person will need to send an additional copy of form 5320.23 to their respective CLEO.

OMB No. 1140-0014 (xx/xx/xxxx)

U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives

Application for Tax Paid Transfer and Registration of Firearm

	heck, or money val of the	Transferee THE JO 123 MAI	s's Name and HN TRUS N STREE	Address (Includ	298, Atlanta, GA 3 de trade name, if an		tion 2d)		
. Type of Transfer (Check one) \$5 \$200 Submit the appropriate tax payment with the active tax may be paid by credit or debit card, corder. Please complete item 20. Upon appropplication, we will affix and cancel the requi	application. heck, or money val of the	Transferee THE JO 123 MAI	s's Name and HN TRUS N STREE	Address (Includ			tion 2d)		
Submit the appropriate tax payment with the action to the tax may be paid by credit or debit card, corder. Please complete item 20. Upon appropplication, we will affix and cancel the requirements.	heck, or money val of the	123 MAI	N STREE						
Submit the appropriate tax payment with the a the tax may be paid by credit or debit card, coorder. Please complete item 20. Upon appro- pplication, we will affix and cancel the requi	heck, or money val of the	123 MAI	N STREE						
The tax may be paid by credit or debit card, coorder. Please complete item 20. Upon appropplication, we will affix and cancel the requi	heck, or money val of the	SALT LA		•					
pplication, we will affix and cancel the requi			AKE CITY,	UT 84116					
		S DIDIVI	IDITAT	TRILICT.	LECAL ENTIT	v	2b. County		
a. Transferor's Name and Address (Includ) INDIVI			or LEGAL ENTIT	Y	SALT LAK	E	
a. Transferor's Name and Address (Includ	e trade name, ij any)	(Executors	. see instruc	tion 2k)					
JOE DEALER									
456 MAIN STREET SALT LAKE CITY, UT 84116									
SALI LAKE ON I, OT OTHIS			3b. e-mail	address (optiona	<i>l)</i> 3c	. Transferor's 7	Telephone (Area Co	ode and Numb	
						(XXX) XXX			
d. If Applicable: Decedent's Name, Addre	ss, and Date of Death	1	3e. Numb	er, Street, City,	State and Zip Code			ss Premises) I	
			Differ	ent from Item 3a	1.				
he above-named and undersigned transferor	hereby makes applic	ation as req	uired by Sec	tion 5812 of the	National Firearms	Act to transfer a	and register the fire	arm described	
elow to the transferee. Description of Firearm (Complete items)	L L \ (C :-		1			d. Model			
Description of Firearm (Complete items Name and Address of Maker, Manufacti	0 / 1				c. Caliber		22 Sparrow SS		
Importer of Firearm			definitions)		or	Length	e. Of Barrel:	f. Overall:	
SILENCERCO, LLC					Gauge	(Inches)		5.08"	
5511 S 6055 W		SII E	ENCER	1	22LR	g. Serial	Number		
WEST VALLEY CITY, UT 84118		JILI	ENCER		ZZLK	XXX-	XXX-XX		
. Additional Description or Data Appearing	ng on Firearm (Attac	h additiona	al sheet if ned	cessary)					
. Transferee's Federal Firearms License	(If any)		1	6. Transferee'	s Special (Occupati	onal) Tax Statu	s (If any)		
Give complete 15-digit number) (See instruc					dentification Numb		Class		
First 6 digits 2 digits	2 digits	5 d	igits						
i	i	i							
. Transferor's Federal Firearms License (If any)	I		8. Transferor'	s Special (Occupati	onal) Tax Statu	s (If any)		
First 6 digits 2 digits	2 digits	5 d	igits	a. Employer	Identification Numb	per b. (Class		
123456 78	1G	01:	234	12-3456	780	2			
Under Penalties of Perjury, I Declare that I	1	ı					d complete and the	at the transfer	
he described firearm to the transferee and rec	ceipt and possession of	f it by the t							
United States Code; Chap 53; or any provisio		w.		10 Nama and	Title of Authorized	Official (Puint		to	
Signature of Transferor (Or authorized					Title of Authorized				
	OE DEAL				LER, AUTHOR		IAL IMM/	DD/YYYY	
	Space Below is for t						ination		
					ine Firearm	Stamp Denom	шаноп		
By Authority of The Director, This Application Described Herein and the Interstate Movement					1 / 1 / 1 / 1				
Described Herein and the Interstate Movemen									
	ıs, if any)			Disapprove	ed (For the following	ig reasons)			
Described Herein and the Interstate Movemen	ns, if any)			Disapprove	ed (For the followin	ig reasons)			
Described Herein and the Interstate Movement Approved (With the following condition	ns, if any)			Disapprove	ed (For the Jollowii	ig reasons)	I-		
Described Herein and the Interstate Movemen	ns, if any)			Disapprove	ed (For the following	ig reasons)			
Described Herein and the Interstate Movemen	rs, if any)			Disapprove	ca (For the Jollowii	ig reasons)	Da	te	

TRUST EXAMPLE FORM BATFE FORM 5320.4

	Transfere	ee Certification							
2. Law Enforcement Notification (See instruction	on 2f)								
he transferee is to provide notification of the ompleted form to the chief law enforcement			oed or	this F	orm 4 by p	rovidin	g a copy	of the	
gency or Department Name		Name and Title of Official							
JTAH HIGHWAY PATROL		JAMES OFFICER, LAW	ENF	ORC	EMENT C	FFIC	IAL		
ddress (Street address or P.O. Box, City, State a	and Zin Code) to which sent (mailed	or delivered))							
89 MAIN STREET ALT LAKE CITY, UT 84116		,							
	Information for the Cl	hief Law Enforcement Officer							
his form provides notification of the transferee's ave information that may disqualify this person fems 14.a through 14.h or 16.a or 16.b could disq f the firearm is in violation of State or local law.	from acquiring or possessing a firear qualify a person from acquiring or po	rm, please contact the NFA Bran	ch at ((304) 61	6-4500 or N	IFA @a	tf.gov. A	"Yes" an	swer to
3. Transferee Necessity Statement (See instruc	ction 2e)								
JOHN INDI\	VIDUAL	have a reasonable necessity to po	ossess	the ma	chinegun, sh	ort-barr	eled rifle.		
(Name and Title of	Transferee)	ALLI			URPOSE		,		
short-barreled shotgun, or destructive device	e described on this application for th	e following reason(s)							
and my possession of the device or weapon	would be consistent with public safe	etv (18 U.S.C. § 922(b) (4) and 2	7 CFF	R § 478.	98).				
ransferee Questions (Complete Only When Tra		(10 01510. 3 3 22 (0) (1) min 2		3	20).				
4. Answer questions 14.a. through 14.h. Answer	er questions 16 through 17 if applica	ble. For any "Yes" answer the to	ransfe	ree shal	l provide det	tails on	a separate	sheet. (S	See
instruction 7b and definitions)			Yes	No	15. Photo	graph			
Are you under indictment or information in could imprison you for more than one year?		crime, for which the judge	0	0		0 - 1			
Have you ever been convicted in any court for imprisoned you for more than one year, even	or a felony, or any other crime, for whi		0	0					
Are you a fugitive from justice? (See definit	tions Is)		0	0	,	D 4 I	Affix	l. 11	
Are you an unlawful user of, or addicted to, controlled substance? Warning: The use o regardless of whether it has been legalized the state where you reside.	, marijuana or any depressant, stimul or possession of marijuana remains	s unlawful under Federal law	0	0		(Approx	Photograp imately 2 instruction	" x 2")	
Have you ever been adjudicated as a mental institution? (See definitions In and Io)	defective OR have you ever been c	ommitted to a mental	0	0					
Have you been discharged from the Armed	Forces under dishonorable condition	ons?	0	0					
Are you subject to a court order restraining intimate partner or child of such partner? (S		eatening your child or an	0	0					
Have you ever been convicted in any court	of a misdemeanor crime of domestic	c violence? (See definition 1q)	0	0					
6a. Country of Citizenship (Check/List more th	nan one, if applicable. Nationals of t	the United States may check U.S.	.A.) (S	ee defin	ition 1r)				
United States of America	Other Country/Countries ((specify):						6	
								Yes	No
Have you ever renounced your United State	es citizenship?							0	0
Are you an alien Illegally or unlawfully in the								0	0
Are you an alien who has been admitted to the	he United States under a nonimmigra	ant visa?						0	0
2. If "yes", do you fall within any of the except	ions stated in the instructions? Attac	ch the documentation to the appl	icatio	n			O N/A	0	0
. If you are an alien, record your U.SIssued A	Alien or Admission number (AR#, U	SCIS#, or 194#):							
ERTIFICATION: Under penalties imposed rm will be directed to the chief law enforcem becoments in support thereof, are true and correpending on the type of transferee.	nent officer (CLEO) shown in item	12, that the statements, as app	licabl	e, conta	ained in this	certific	cation, an	d any att	tached
JOHN INDIVIDUAL (AS	TRANSFEREE)	MM/DD/YYYY							
gnature of Transferee		Date					1 mc = =		20.43
J	AT	F Copy						orm 4 (532 May 2016	20.4)

18. Number of l	Respons	ible Persons (see definiti	ions) associated	d with the	transfere	e trust or lega	ıl entity	3			
Responsible	e Person	ne (printed or typed) belo s than can be listed on th onal Firearms Act (NFA)	e form, attach	a separate	sheet lis	ting the additi	ional Re	sponsible Pers	on(s)). F	lease note the	at a completed
Full Name						Full Name					
JOHN INDIV	/IDUAL										
RESPONSIB	LE PEF	RSON 2									
RESPONSIB	LE PEF	RSON 3									
20. Method of P	Payment	(Check one) (See instruction	n 2i) (if paying b	by credit/de	bit card, o	complete the sec	ctions bel	ow)			
Check (Enclo	osed)	Cashier's Check or Money Order (Enclose	sed)	Visa	O	Mastercard	O	American Express	O	Discover	Diners Clul
Credit/Debit Card	Number	(No dashes)			Name a	s Printed on the	Credit/E	Debit Card		Expiration	Date (Month & year
	Address	5:									
Credit/Debit Card Billing Address:	Citan			State:				7:-	Code:		
Diffing Address.	City:			State.				Σip	Code.		
										mount:	
I Authorize ATF to	o Charge	my Credit/Debit Card the T	Tax Amount.					7.7.7.	\$ 200	0.00	
		Signature of Cardho	older			_				Date	
		be charged the above stated n is NOT approved, the abo							credit/del	oit card stateme	ent.
If you are the curre	ent regist	rant of the firearm described				rently Register ing information		rms			
		rocedures regarding the tran cohol, Tobacco, Firearms ar			_			gistrant identified	d in item 2	2a, the executor	r should contact the
		ss currently licensed under turg, WV 25405, in writing,					FA Bran	ch, Bureau of Al	cohol, To	bacco, Firearm	s, and Explosives,
		he registrant shall notify the description of the firearm		Bureau of A	Alcohol, T	obacco, Firearm	ns and Ex	plosives, 244 Ne	eedy Road	l, Martinsburg,	WV 25405, in
		he firearm identified in iten obtain permission from AT									
Restrictions on Po	ossession	: Any restriction (see appr	oval block on fa	ce of form)	on the po	ssession of the t	firearm ic	lentified in item	4 continu	es with the furt	ther transfer of the
Persons Prohibite dispose of the fire		Possessing Firearms: If the	e registrant beco	mes prohib	oited from	possessing a fir	rearm, ple	ease contact the	NFA Brar	nch for procedu	ires on how to
Proof of Registra request.	tion: A	person possessing a firearm	registered as rec	quired by th	ne NFA sh	all retain proof	of registr	ation which shall	ll be made	e available to a	ny ATF officer upon
						ion Act Notice					112 02
	entify tran	nce requirements of the Papersferor, transferee, and firea									
concerning the acc	curacy of	len associated with this colle this burden estimate and su of Alcohol, Tobacco, Firea	ggestion for red	ucing this b	ourden sho	uld be addresse	recordke d to Repo	eper, depending orts Managemen	on indivi t Officer,	dual circumsta Information To	nces. Comments echnology
An agency may no	ot conduc	t or sponsor, and a person is	s not required to	respond to	, a collect	on of informati	on unless	it displays a cui	rently val	lid OMB contro	ol number.

ATF Copy

TRUST EXAMPLE FORMBATFE FORM 5320.4

Privacy Act Information

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552(e)(3)):

- 1. Authority. Solicitation of this information is made pursuant to the National Firearms Act (26 U.S.C. §5812). Disclosure of this information by the applicant is mandatory for transfer of an NFA firearm, unless the transfer is otherwise exempt from tax.
- 2. Purpose. To insure payment of the tax imposed by 26 U.S.C. § 5811; to insure that the transfer would not be in violation of law; and to effect registration of the firearm.
- 3. Routine Uses. The information will be used by ATF to make the determinations set forth in paragraph 2. In addition, to effect registration of the firearm, information as to the identification of the firearm, date of registration, and the identification and address of person entitled to possess the firearm will be entered into the National Firearms Registration and Transfer Record. No information obtained from an application, registration, or records required to be submitted by an individual in order to comply with any provision of the National Firearms Act or regulations issued thereunder, shall, except in connection with prosecution or other action for furnishing information, be used, directly or indirectly, as evidence against that person in any criminal proceeding with respect to a violation of law occurring prior to or concurrently with the filing of the application. The information from this application may only be disclosed to Federal authorities for purposes of prosection for violation of the National Firearms Act.
- 4. Effects of not Supplying Information Requested. Failure to supply complete information will delay processing and may cause denial of the application.

Definitions/Instructions

Definitions.

- National Firearms Act (NFA). Title 26, United States Code, Chapter 53.
 The implementing regulations are found in Title 27, Code of Federal
 Regulations, Part 479.
- Gun Control Act (GCA). Title 18, United States Code, Chapter 44. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 478.
- c. Firearm. The term "firearm" means: (1) a shotgun having a barrel or barrels of less than 18 inches in length; (2) a weapon made from a shotgun if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 18 inches in length; (3) a rifle having a barrel or barrels of less than 16 inches in length; (4) a weapon made from a rifle if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 16 inches in length; (5) any other weapon as defined in 26 U.S.C. § 5845(e); (6) a machinegun; (7) a muffler or silencer for any firearm whether or not such firearm is included within this definition; and (8) a destructive device.
- d. Person. A partnership, company, association, trust, corporation, including each responsible person associated with such an entity; an estate; or an individual.
- e. Responsible Person. In the case of an unlicensed entity, including any trust, partnership, association, company (including any Limited Liability Company (LLC)), or corporation, any individual who possesses, directly or indirectly, the power or authority to direct the management and policies of the trust or entity to receive, possess, ship, transport, deliver, transfer or otherwise dispose of a firearm for, or on behalf of, the trust or legal entity. In the case of a trust, those persons with the power or authority to direct the management and policies of the trust includes any person who has the capability to exercise such power and possesses, directly or indirectly the power or authority under any trust instrument, or under State law, to receive possess, ship, transport, deliver, transfer, or otherwise dispose of a firearm for, or on behalf of the trust. Examples of who may be considered a responsible person include settlors/grantors, trustees, partners, members, officers, directors, board members, or owners. An example of who may be excluded from this definition of responsible person is the beneficiary of a trust, if the beneficiary does not have the capability to exercise the enumerated powers or authorities.
- f. Employer Identification Number (EIN). Required of taxpayer filing special (occupational) tax returns under 27 CFR § 479.35.
- g. Special (Occupational) Tax. Required by the NFA to be paid by a Federal firearms licensee engaged in the business of manufacturing (Class 2), importing (Class 1), or dealing (Class 3) in NFA firearms.
- Federal Firearms License. A license issued under the provisions of the GCA to manufacture, import or deal in firearms.
- ATF Officer. An officer or employee of the Bureau of Alcohol. Tobacco. Firearms and Explosives (ATF) authorized to perform any function relating to the administration of the NFA.

- Transfer. Selling, assigning, pledging, leasing, loaning, giving away, or otherwise disposing of a firearm.
- Transferor. The person selling or otherwise disposing of a firearm; including applying to transfer a firearm.
- 1. Transferee. The person acquiring the firearm.
- m. Prohibited Person. Generally, 18 U.S.C. § 922 (g) prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who: has been convicted of a misdemeanor crime of domestic violence; has been convicted of a felony, or any other crime, punishable by imprisonment for a term exceeding one year (this does not include State misdemeanors punishable by imprisonment of two years or less); is a fugitive from justice; is an unlawful user of, or addicted to, marijuana or any depressant, stimulant, or narcotic drug, or any other controlled substance; has been adjudicated as a mentally defective or has been committed to a mental institute; has been discharged from the Armed Forces under dishonorable conditions; has renounced his or her U.S. citizenship; is an alien illegally in the United States or an alien admitted to the United States under a nonimmigrant visa; or is subject to certain restraining orders. Furthermore, Section 922 (n) prohibits the shipment, transportation, or receipt in or affecting interstate commerce of a firearm by one who is under indictment or information for a felony in any Federal, State or local court, or any other crime, punishable by imprisonment for a term exceeding one year. An information is a formal accusation of a crime verified by a prosecutor.

EXCEPTION: A person who has been convicted of a felony, or any other crime, for which the judge could have imprisoned the person for more than one year, or who has been convicted of a misdemeanor crime of domestic violence, is not prohibited from purchasing, receiving, or possessing a firearm if: (1) under the law of the jurisdiction where the conviction occurred, the person has been pardoned, the conviction has been expunged or set aside, or the person has had their civil rights (the right to vote, sit on a jury, and hold public office) taken away and later restored AND (2) the person is not prohibited by the law of the jurisdiction where the conviction occurred from receiving or possessing firearms. Persons subject to this exception should mark "no" in the applicable box.

- n. Adjudicated As a Mental Defective. A determination by a court, board, commission, or other lawful authority that a person, as a result of marked subnormal intelligence, or mental illness, incompetency, condition, or disease: (1) is a danger to himself or to others; or (2) lacks the mental capacity to contract or manage his own affairs. This term shall include: (1) a finding of insanity by a court in a criminal case; and (2) those persons found incompetent to stand trial or found not guilty by reason of lack of mental responsibility.
- o. Committed to a Mental Institution. A formal commitment of a person to a mental institution by a court, board, commission, or other lawful authority. The term includes a commitment to a mental institution involuntarily. The term includes commitment for mental defectiveness or mental illness. It also includes commitments for other reasons, such as for drug use. The term does not include a person in a mental institution for observation or a voluntary admission to a mental institution.

ATF E-Form 4 (5320.4) Revised May 2016

ATF E-Form 4 (5320.4)

Revised May 2016

EXCEPTION NICS Improvement Amendments Act of 2007: A person who has been adjudicated as a mental defective or committed to a mental institution in a state proceeding is not prohibited by the adjudication or commitment if the person has been granted relief by the adjudicating/committing state pursuant to a qualifying mental health relief from disabilities program. Also a person who has been adjudicated as a mental defective or committed to a mental institution by a department or agency of the Federal Government is not prohibited by the adjudication or commitment if either: (a) the person's adjudication or commitment was set-aside or expunged by the adjudicating/committing agency; (b) the person has been fully released or discharged from all mandatory treatment, supervision, or monitoring by the agency; (c) the person was found by the agency to no longer suffer from the mental health condition that served as the basis of the initial adjudication/commitment; or (d) the adjudication or commitment, respectively is based solely on a medical finding of disability, without an opportunity for a hearing by a court, board, commission, or other lawful authority, and the person has not been adjudicated as a mental defective consistent with 922(g)(4) of title 18, United States code; or (e) the person was granted relief from the adjudicating/committing agency pursuant to a qualified mental health relief from disabilities program. Persons who fall within one of the above exceptions should mark "no" in the applicable box. This exception to an adjudication or commitment by a Federal department or agency does not apply to any person who was adjudicated to be not guilty by reason of insanity, or based on a lack of mental responsibility or found incompetent to stand trial, in any criminal case or under the Uniform Code of Military Justice.

- p. Restraining Order. Under 18 U.S.C. § 922, firearms may not be sold to or received by persons subject to a court order that: (A) was issued after a hearing which the person received actual notice of and had an opportunity to participate in; (B) restrains such person from harassing, stalking, or threatening an intimate partner or child of such intimate partner or person, or engaging in other conduct that would place an intimate partner in reasonable fear of bodily injury to the partner or child; and (C)(i) includes a finding that such person represents a credible threat to the physical safety of such intimate partner or child; or (ii) by its terms explicitly prohibits the use, attempted use, or threatened use of physical force against such intimate partner or child that would reasonably be expected to cause bodily injury. An "intimate partner" of a person is: the spouse or former spouse of the person, the partner of a child of the person, or an individual who cohabitates or has cohabitated with the person.
- q. Misdemeanor Crime of Domestic Violence. A Federal, State, local, tribal offense that is a misdemeanor under the Federal, State or tribal law and has, as an element, the use or attempted use of physical force, or the threatened use of a deadly weapon, committed by a current or former spouse, parent, or guardian of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, parent, or guardian of the victim. The term includes all misdemeanors that have as an element the use or attempted use of physical force or the threatened use of a deadly weapon (e.g., assault and battery), if the offense is committed by one of the defined parties. (See Exception in the definition of "Prohibited Person"). A person who has been convicted of a misdemeanor crime of domestic violence also is not prohibited unless; (1) the person was represented by a lawyer or gave up the right to a lawyer; or (2) if the person was entitled to a jury, was tried by a jury, or gave up the right to a jury trial. Persons subject to this exception should mark "no" in the applicable box.
- Alien Admitted to the United States Under a Nonimmigrant Visa. An alien admitted to the United States under a nonimmigrant visa includes, among others, persons visiting the United States temporarily for business or pleasure, persons studying in the United States who maintain a residence abroad, and certain temporary foreign workers. These aliens must answer "yes" to question 16.d.1 and provide the additional documentation required under question 16.d.2. Permanent resident aliens and aliens legally admitted to the United States pursuant either the Visa Waiver Program or to regulations otherwise exempting them from visa requirements may answer "no" to this question and are not required to submit the additional documentation under 16.d.2. An alien admitted to the United States under nonimmigrant visa is not prohibited from purchasing, receiving, or possessing a firearm if the alien; (1) is in possession of a hunting license or permit lawfully issued by the Federal Government, a State, or local government, or an Indian tribe federally recognized by the Bureau of Indian Affairs, which is valid and unexpired; (2) was admitted to the United States for lawful hunting or sporting purposes; (3) is an official representative of a foreign government who is accredited to the United States Government or the Government's mission to an international organization

having its headquarters in the United States; (4) is an official representatives of a foreign government who is en route to or from another country to which that alien is accredited; (5) is an official of a foreign government or a distinguished foreign visitor who has been so designated by the Department of State; (6) is a foreign law enforcement officer of a friendly foreign government entering the United States on official law enforcement business; (7) has received a waiver from the prohibition from the Attorney General of the United States.

s. Fugitives from Justice. Any person who has fled from any State to avoid prosection for a felony or a misdemeanor, or any person who leaves the State to avoid giving testimony in any criminal proceeding. The term also includes any person who knows that misdemeanor or felony charges are pending against such person and who leaves the State of prosection.

2. Preparation of Application

- a. Authority. As provided by 26 U.S.C. §§ 5811 and 5812, any person seeking to transfer a firearm must submit, in duplicate, a separate application on this form for each firearm. The transferor must furnish all the information called for, except as noted by instructions within, on this application form. Please note that the form now contain a 3rd (CLEO) copy of the form for use in compliance with instruction 2f and item 12 of the form.
- Transfer Tax Rates. The transfer tax is \$200.00 except when the firearm is an 'any other weapon' where the tax rate is \$5.00.
- c. Federal Firearms Licensees. If the transferor or transferee is a Federal Firearms licensee (FFL) under the GCA, the licensee's complete name, trade name (if any), and address shall be entered in items 2a or 3a of this form, respectively. The complete license number for each shall be entered in items 5 and 7 of the form and if the licensee is a special (occupational) taxpayer under the NFA, the licensee's employer identification number (EIN) shall be entered in item 6 and 8 of the form along with the class of special tax paid. IMPORTANT NOTE: The business structure of the licensee shall be described consistently. For example, if the transferor is a sole proprietor, item 3a shall reflect the FFL information for the sole proprietor business and item 7 shall reflect the FFL number for that sole proprietor business. Item 8 will reflect the EIN for the sole proprietor business. If the special tax is paid as a corporation, then it is not valid for the transaction. Similarly, a corporation name shown in item 3a with the FFL number and EIN of a sole proprietor will not be valid for the transaction.

d. Completion of Form.

- (1) The transferor shall provide the transferee's complete name and physical address (no Post Office boxes) in item 2a. If the transferee is a trust or legal entity, show only the complete name of the trust or legal entity and do not include any individual names (such as names of trustees or corporate officials). The address shall be the location within the particular state where the firearm will be maintained for a trust or legal entity. In the case of two or more locations for a legal entity, the address shown shall be the principal place of business within the particular state (or principal office, in the case of a corporation).
- (2) If the transferee is an individual, the entire Form 4 shall be completed except for items 18 and 19. In addition, the transferee must include his or her fingerprints on FBI Form FD-258 and his or her photos (see instruction 2g).
- (3) If the transferee is other than an individual, e.g., a trust or legal entity such as a corporation, the transferee shall not complete items 14, 15, 16, and 17. All other items must be completed including the signing of the Transferee Certification statement by the transferee.
- (4) Documentation of entity existence:
 - (a) If the transferee is other than an individual, the transferee must attach documentation evidencing the existence and validity of the entity, which includes complete and unredacted copies of partnership agreements, articles of incorporation, corporate registration, declarations of trust with any trust schedules, attachments, exhibits, and enclosures.
 - (b) If the transferee entity has had an application approved as a maker or transferee within the preceding 24 months of the date of filing this application, and there has been no change to the documentation evidencing the existence and validity of the entity previously provided, the entity may provide a certification that the information has not been changed since the prior approval and shall identify the application for which the documentation had been submitted by form number, serial number, and date approved.
- (5) If the transferee is other than an individual, each responsible person of the trust or legal entity (See definition 1e) must include a completed ATF Form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire, with the submitted Form 4.

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TRUST EXAMPLE FORM BATFE FORM 5320.4

- (6) Item 17 (Method of Payment) is obscured on the ATF Copy 2 (Registrant) and the CLEO copy. In addition, item 4g (serial number) is obscured on the CLEO copy. These fields do not require completion on these copies.
- e. Transferee Necessity Statement. Item 13 must be completed by the transferee if:
 - the firearm to be transferred is a machinegun, short-barreled rifle, shortbarreled shotgun, or destructive device;
 - (2) the transferor is licensed under the GCA to deal in such device or firearm; and
 - (3) the transferee is **not** licensed under the GCA to deal in such device or firearm
- f. Law Enforcement Notification. The transferee must provide a copy of the Form 4 to the chief law enforcement officer (CLEO) who has jurisdiction over the area of the transferee's address shown in item 2a of the Form 4. In addition, if the transferee is other than an individual, a copy of the Form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire, completed by each responsible person must be provided to their respective chief law enforcement officer. The chief law enforcement officer is considered to be the Chief of Police; the Sheriff; the Head of the State Police; or a State or local district attorney or prosecutor..
- g. Photographs and Fingerprints. An individual transferee, except if licensed as a manufacturer, importer, or dealer under the GCA, must (1) attach to item 15 of the ATF Form 4, a 2 inch x 2 inch photograph of the frontal view of the transferee taken within 1 year prior to the date of the application and (2) submit two properly completed FBI Forms FD-258 (Fingerprint Card with blue lines) with the application. The fingerprints must be clear for accurate classification and taken by someone properly equipped to take them.
- Signatures. All signatures required on ATF Form 4 must be original in ink on both copies.
 - (1) if the applicant is an individual, the applicant shall sign the form;
 - (2) if the applicant is the estate of a decedent where the firearm is being transferred to other than a beneficiary, the executor or administrator of the estate shall sign the form;
 - (3) if the applicant is a trust or legal entity, a responsible person of the trust or legal entity shall sign the form; or
 - (4) if the applicant is a Federal firearms licensee, a responsible person of the Federal firearms licensee shall sign the form
- Remittance. The applicant shall complete item 20. Please note that you
 may pay by credit/debit card, check, or money order. The check or money
 order is to be made payable to ATF. <u>Do not send cash</u>.
- Photocopies or Computer Generated Versions or Downloaded Version from ATF Website. After downloading or copying and printing this form, ensure that the front and back are on the same sheet of paper. The NFA Branch will not approve the application if the front and back are on separate sheets of paper.
- k. Estates, Trusts, and Other Transfers by Operation of Law. When a firearm is being transferred tax exempt from an estate by bequest or interstate succession (See 27 CFR § 479.90a), or by other operation of law to a beneficiary or other authorized recipient, ATF Form 5 is used to effect the transfer otherwise, Form 4 is used. See ATF Form 5 for additional information.
- Submission. The transferor shall submit 2 complete forms (ATF copy 1 and Copy 2 (Registrant)) to the NFA Branch at the address on the face of the form. All items on the form are to be completed except as noted in the instructions and any attachment included with the submission. The applicant shall direct the 3rd copy (CLEO) complete copy of the form to the chief law enforcement officer as provided in instruction 2f and item 12.
- m. Description of Firearm and Markings. (1) Item 4a. please provide the name and address of the maker, manufacturer or importer of the firearm. If there are additional makers, manufacturers, or importers, include this information in item 4h or on a separate sheet of paper. (2) Item 4b. the types of NFA firearm are listed in instruction 1c. (3) Item 4c. specify one caliber or gauge. If there are additional calibers associated with the description of the firearm, include this information in item 4h or on a

separate sheet of paper (4) Item 4d. show the model designation (*if any*). (5) Items 4e. and 4f. specify the barrel length and overall lengths as applicable. If there are additional barrel and overall lengths associated with the description of the firearm, include this information in item 4h. or on a separate sheet of paper. (6) Item 4g. enter the serial number of the firearm as it appears on the firearm. (7) If there are differences between the description of the firearm or in the markings on the firearm, including the serial number, contact the NFA Branch in regard to these differences.

- n. State or Local Permit. If a State or local permit or license is required for the transferee prior to acquisition of the firearm, a copy of the permit must be included with the application. If the transferee is a trust or legal entity, when the State of residence for any responsible person requires a State or local permit or license, a copy of the permit or license must be submitted with Form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire.
- 8. Approval of Application. Upon approval of an application, the NFA Branch will return the approved copy to the transferor for delivery with the firearm to the transferee. Since the approval of the application effectuates registration of the firearm to the transferee, the physical transfer of the firearm must be completed immediately; however, the transferor must not transfer the firearm until the application has been approved and received. If the physical transfer of the firearm cannot be completed immediately, the transferor must contact the NFA Branch with the specifics.
- Withdrawal of Application. The transferor may withdraw an application prior to approval subsequent to a written request to the Chief, NFA Branch, 244 Needy Road, Martinsburg, WV. 25405. The NFA Branch will arrange for a refund of the tax paid.
- 5. Cancellation of Approved Application. The transferor may cancel an approved application only if the physical transfer of the firearm has not been completed. The transferor must return the approved application with the original tax stamp affixed with a written request for cancellation, citing the need and that the physical transfer of the firearm did not take place. The request shall be directed to the Chief, NFA Branch, 244 Needy Road, Martinsburg, WV. 25405. The NFA Branch will arrange for a refund of the tax paid.
- Disapproval of Application. If the application is disapproved, the NFA Branch will note the reason for disapproval on the application and return one copy of the ATF Form 4 to the transferor.
- Reasons for Disapproval. 26 U.S.C. § 5812 provides that applications shall be denied if the transfer, receipt, or possession of the firearm would place the transferse in violation of law.
- Violation of the Law. Applications shall be denied if the receipt or
 possession of the firearm would place the person receiving or possessing
 the firearm in violation of the law.
- b. Persons Prohibited from Receiving a Firearm. The application will be disapproved if the transferee is a person prohibited from receiving a firearm. For information regarding persons prohibited from receiving a firearm, refer to definitions 1m though 1r.
- Status Inquiries and Questions. Information relating to the NFA and other firearms laws is available at the ATF Internet website at www.atf.gov. Any inquiry relating to the status of an application to transfer an NFA firearm or about procedures in general should be directed to the NFA Branch at (304) 616-4500. Please be aware that any dissemination by ATF of information relating to the application to register an NFA firearm must conform with the restrictions in 26 U.S.C. § 6103.
- Penalties. Any person who violates or fails to comply with any of the requirements of the NFA shall, upon conviction, be fined not more than \$10,000 or be imprisoned for not more than 10 years, or both. Any firearm involved in a violation of the NFA shall be subject to seizure and forfeiture. It is unlawful for any person to make or cause the making of a false entry on any application or record required by the NFA knowing such entry to be false.
- 10. **Compliance with the Gun Control Act.** Person must also comply with all relevant portions of the GCA

789 MAIN STREET SALT LAKE CITY, UT 84116

U.S. Department of Justi				OMB No. 1140-0107 (06/30/2019)
Bureau of Alcohol, Tobac	co, Firearms and Explosives		National Firearn	,
			Responsible Pers	son Questionnaire
	. TI ATE CH C	1.6	ED 250 1.1	
	te. The ATF copy of the form, wi and the other copy will be directed			submitted with the ATF Form 1, 4, or 5 (to the address (See Instructions)
	te box to indicate with which ATI ATF Form 4 ATF		e will be submitted.	
2. Name and Address of App	licant or Transferee (as shown on	the ATF Form 1, 4 or 5	(see instruction 2)	
THE JOHN TRUST 123 MAIN STREET SALT LAKE CITY, UT	84116			
3a. Name and Home Address	of Responsible Person		3b. Telephone (Area code and	Number)
JOHN INDIVIDUAL			(XXX) XXX-XXXX	
123 MAIN STREET SALT LAKE CITY, UT	T 8.4116		3c. e-mail address (optional)	
SALI LAKE CITT, OT	04110		EMAILADDRESS@E	MAIL.COM
			3d. Other names used (including	ng maiden name)
4a. Type of Firearm (see defi	nition 5)			3e. Photograph
SILENCER				
4b. Name and Address of Ma SILENCERCO 5511 S 6055 W WEST VALLEY CITY,	iker, Manufacturer and/or Importe	or of Firearm		
4c. Firearm Model	4d. Caliber or Gauge	4e. Firearm Seri	al Number	
22 Sparrow SS	22LR	xxx-xxx	(-XX	
5. Law Enforcement Notifica	ation (See instruction 5)			
				vide notification of the proposed making or acquisition enforcement officer (CLEO) in the agency identified
Agency or Department Name			Name and Title of Officia	al
UTAH HIGHWAY PA	TROL		JAMES OFFICER, L	AW ENFORCEMENT OFFICIAL
Address (Street address or P.C	D. Box, City, State and Zip Code)	to which sent (mailed or	r delivered)	

Information for the Chief Law Enforcement Officer

This form provides notification of the maker or transferee's intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from making or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or NFA@atf.gov. A "Yes" answer to items 6h or item 7b or 7c could disqualify a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transfer or possession of the firearm would be in violation of State or local law.

ATF Copy ATF Copy ATF Copy Revised May 2016

6. Answer questions 6.a through 6.h. Answer questions 7 and 8 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet. (See definitions 8-12)		
	Yes	No
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 8)	0	
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 8)	0	•
c. Are you a fugitive from justice? (See definition 13)	0	
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether is has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.	0	•
e. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See definitions 9 and 10)	0	
f. Have you been discharged from the Armed Forces under dishonorable conditions?	0	
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 11)	0	
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 14)	0	
United States of America Other Country/Countries (specify):		
	Yes	No
b. Have you ever renounced your United States citizenship?	0	
c. Are you an alien illegally or unlawfully in the United States?	0	
d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?	0	
d.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the questionnaire	0	0
8. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):		
CERTIFICATION: Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a completorm will be directed to the chief law enforcement officer (CLEO) shown in item 5, that the statements contained in this certification, and any attach support thereof, are true and correct to the best of my knowledge and belief.		
TOUN INDIVIANAL MM/DD/YYYY		

Instructions

Date

- 1. Completion: Each responsible person (see definition 4) of a trust or legal entity seeking to make or acquire a National Firearms Act (NFA) firearm shall complete this form in duplicate. (see instruction 9)
- a. Each responsible person must submit his/her fingerprints and photograph with this form (see below).
- b. Please note that this form is not required when the applicant on Form 1, 4 or 5 is an individual.
- 2. Item 2- Enter the name, trade name (if any) and address of the trust or legal entity identified on the Form 1 (items 3a and b); Form 4 (item 2a); or Form 5 (item 2a)
- 3. Item 3- Responsible Person information

Signature of Responsible Person

- a. Provide the information for the responsible person in items 3a through 3e.
- b. Item 3e Photograph: The responsible person shall attach, in item 3e on the ATF copy of the form only, a 2-inch by 2-inch frontal view photograph taken within one year prior to the date of the filing of the form. Item 3e is obscured on the CLEO copy.
- 4. Firearm information
- a. Type of NFA firearm: see definition 5 and as identified in item 4b of Form 1, 4, or 5
- b. Name of maker, manufacturer and/or importer: as identified in item 4a of Form 1, 4, or 5
- c. Firearm Model: identified in item 4d of Form 1, 4, or 5
- d. Caliber or Gauge: identified in item 4c of Form 1, 4 or 5
- e. Firearm Serial Number: identified in item 4g of Form 1, 4 or 5. Item 4e is obscured on the CLEO copy.
- 5. Item 5- Law Enforcement Notification: Each responsible person must provide a notification on this form of the proposed making or acquisition of an NFA firearm to his/her chief law enforcement officer having jurisdiction where the responsible person is located. The chief law enforcement officer is considered to be the Chief of Police; the Sheriff; the Head of the State Police; or a State or local district attorney or prosecutor.
- 6. Complete items 6 through 8
- 7. Fingerprints: The responsible person shall submit, in duplicate with the ATF copy of this form, his or her fingerprints on FBI Form FD-258 and the fingerprints must be clear for accurate classification and taken by someone properly equipped to take them. No fingerprints are required with the copy of the form sent to the chief law enforcement officer.
- 8. State or Local Permit: If the State in which the responsible person resides requires the responsible person to have a State or Local permit or licensee, a copy of the permit or license must be submitted with this form.

ATF Copy

- 9. Disposition: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1, 4 or 5. The other copy shall be directed to the responsible person's chief law enforcement officer identified in item 5 of this form.
- 10. Sign and date the form. The signature must be original.

ATF E-Form 5320.23 Revised May 2016

TRUST EXAMPLE FORM BATFE FORM 5320.23

DEFINITIONS

- 1. National Firearms Act (NFA). Title 26, United States Code, Chapter 53. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 479.
- 2. Gun Control Act (GCA). Title 18, United States Code, Chapter 44. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 478.
- 3. Person. A partnership, company, association, trust, corporation, including each responsible person associated with such an entity; an estate; or an individual.
- 4. Responsible Person. In the case of an unlicensed entity, including any trust, partnership, association, company (including any Limited Liability company (LLC)), or corporation, any individual who possesses, directly or indirectly, the power or authority to direct the management and policies of the trust or entity to receive, possess or ship, transport, deliver, transfer or otherwise dispose of a firearm for, or on behalf of, the trust or legal entity. In the case of a trust, those persons with the power or authority to direct the management and policies of the trust includes any person who has the capability to exercise such power and possesses, directly or indirectly, the power or authority under any trust instrument, or under State law, to receive, possess, ship, transport, deliver, transfer, or otherwise dispose of a firearm for, or on behalf of the trust. Examples of who may be considered a responsible person include settlors/grantors, trustees, partners, members, officers, directors, board members or owners. An example of who may be excluded from this definition of responsible person is the beneficiary of a trust, if the beneficiary does not have the capability to exercise the enumerated powers or authorities.
- 5. **Firearm**. (1) a shotgun having a barrel or barrels of less than 18 inches in length; (2) a weapon made from a shotgun if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 18 inches in length; (3) a rifle having a barrel or barrels of less than 16 inches in length; (4) a weapon made from a rifle if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 16 inches in length; (5) any other weapon as defined in 26 U.S.C. § 5845(e); (6) a machinegun; (7) any silencer (as defined in 18 U.S.C. § 921) and (8) a destructive device.
- 6. Maker. The person (applicant) applying to make and register the firearm.
- 7. Transferee. The person acquiring the firearm.
- 8. **Prohibited Person**. Generally, 18 U.S.C. § 922(g) prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who has been convicted of a felony or any other crime, punishable by imprisonment for a term exceeding one year (this does not include State misdemeanors punishable by imprisonment of two years or less), is a fugitive from justice, is an unlawful user of or addicted to marijuana or any depressant, stimulant, or narcotic drug, or any other controlled substance, has been adjudicated as a mental defective or has been committed to a mental institution, has been discharged from the Armed Forces under dishonorable conditions, has renounced his or her U.S. citizenship, is an alien illegally in the United States or an alien admitted to the United States under a nonimmigrant visa or is subject to certain restraining orders. Furthermore, Section 922(n) prohibits the shipment, transportation, or receipt in or affecting interstate commerce of a firearm by one who is under indictment or information for a felony in any Federal, State or local court, or any other crime, punishable by imprisonment for a term exceeding one year. An information is a formal accusation of a crime verified by a prosecutor.

EXCEPTION: A person who has been convicted of a felony or any other crime, for which the judge could have imprisoned the person for more than one year, or who has been convicted of a misdemeanor crime of domestic violence, is not prohibited from purchasing, receiving, or possessing a firearm if: (1) under the law of the jurisdiction where the conviction occurred the person has been pardoned, the conviction has been expunged or set aside, or the person had their civil rights (the right to vote, sit on a jury and hold public office) taken away and later restored AND (2) the person is not prohibited by the law of the jurisdiction where the conviction occurred from receiving or possessing firearms. Person subject to his exception should mark "no" in the applicable box.

- 9. Adjudicated as a Mental Defective. A determination by a court, board, commission, or other lawful authority that a person, as a result of marked subnormal intelligence, or mental illness, incompetency, condition, or disease; (1) is a danger to himself or to others; or (2) lacks the mental capacity to contract or manage his own affairs. This term shall include (1) a finding of insanity by a court in a criminal case, and (2) those persons found incompetent to stand trail or found not guilty by reason of lack of mental responsibility.
- 10. Committed to a Mental Institution. A formal commitment of a person to a mental institution by a court, board, commission, or other lawful authority. The term includes a commitment to a mental institution involuntarily. The term includes commitment for mental defectiveness or mental illness. It includes commitments for other reasons, such as for drug use. The term does not include a person in a mental institution for observation or a voluntary admission to a mental institution.

EXCEPTION: NICS Improvement Amendments Act of 2007: A person who has been adjudicated as a mental defective or committed to a mental institution in a state proceeding is not prohibited by the adjudication or commitment if the person has been granted relief by the adjudicating/committing state pursuant to a qualifying mental health relief from disabilities program. Also, a person who has been adjudicated as a mental defective or committed to a mental institution by a department or agency of the Federal Government is not prohibited by the adjudication or commitment if either; (a) the person's adjudication or commitment was set-aside or expunged by the adjudicating/committing agency; (b) the person has been fully released or discharged from all mandatory treatment, supervision, or monitoring by the agency; (c) the person was found by the agency to no longer suffer from the mental health condition that served as the basis of the initial adjudication/commitment; or (d) the adjudication or commitment, respectively, is based solely on a medical finding of disability, without an opportunity for a hearing by a court, board, commission, or other lawful authority, and the person has not been adjudicated as a mental defective consistent with section 922(g)(4) of title 18, United States Code; or (e) the person was granted relief from the adjudicating/committing agency pursuant to a qualified mental health relief from disabilities program. Persons who fall within one of the above exceptions should mark "no" in the applicable box. This exception to an adjudication or commitment by a Federal department or agency does not apply to any person who was adjudicated to be not guilty by reason of insanity, or based on lack of mental responsibility, or found incompetent to stand trial, in any criminal case or under the Uniform Code of Military Justice.

- 11. Restraining Order. Under 18 U.S.C. § 922 firearms may not be sold to or received by person subject to a court order that (a) was issued after a hearing which the person received actual notice of and had an opportunity, to participate in (b) restrains such person from harassing, stalking, or threatening an intimate partner or child of such intimate partner or person, or engaging in other conduct that would place an intimate partner in reasonable fear of bodily injury to the partner or child, and (c)(i) includes a finding that such person represents a credible threat to the physical safety of such intimate partner or child, or (ii) by its terms explicitly prohibits the use, attempted use, or threatened use of physical force against such intimate partner or child that would reasonably be expected to cause bodily injury. An "intimate partner" of a person is the spouse or former spouse of the person, the parent of a child of the person or an individual who cohabitates or has cohabitated with the person.
- 12. Alien admitted to the United States Under a Nonimmigrant Visa. An alien admitted to the United States under a nonimmigrant visa includes, among others, persons visiting the United States temporarily for business or pleasure, persons studying in the United States who maintain a residence abroad, and certain temporary foreign workers. These aliens must answer "yes" to question 7.d.1 and provide the additional documentation required under question 7.d.2. Permanent resident aliens

ATF E-Form 5320.2

Revised May 2016

and aliens legally admitted to the United States pursuant to either the Visa Waiver Program or to regulations otherwise exempting them from visa requirements may answer "no" to this question and are not required to submit the additional documentation under 7.d.2. An alien admitted to the United Sates under a nonimmigrant visa is not prohibited from purchasing, receiving or possessing a firearm if the alien (1) is in possession of a hunting license or permit lawfully issued by the Federal Government, a State, or local government, or an Indian tribe federally recognized by the Bureau of Indian Affairs, which is valid and unexpired.

(2) was admitted to the United Sates for lawful hunting or sporting purposes; (3) is an official representative of a foreign government who is accredited to the United Sates Government or the Government's mission to an international organization having its headquarters in the United Sates; (4) is an official representative of a foreign government who is en route to or from another country to which that alien is accredited; (5) is an official of a foreign government or a distinguished foreign visitor who has been so designated by the Department of State; (6) is a foreign law enforcement officer of a friendly foreign government entering the United Sates on official law enforcement business; (7) has received a waiver from the prohibition from the Attorney General of the United States.

- 13. **Fugitives from Justice.** Any person who has fled from any State to avoid prosecution for a felony or a misdemeanor, or any person who leaves the State to avoid giving testimony in any criminal proceeding. The term also includes any person who knows that misdemeanor or felony charges are pending against such person and who leaves the State of prosecution.
- 14. **Misdemeanor Crime of Domestic Violence**: A Federal, State, local, tribal offense that is a misdemeanor under the Federal, State, or tribal law and has as an element the use or attempted use of physical force, or the threatened use of a deadly weapon, committed by a current or former spouse, parent, or guardian of the victim, by a person with whom the victim shares a child in common, by a person cohabitating with, or has cohabited with the victim as a spouse, parents, or guardian, or by a person similarly situated to a spouse, parent or guardian of the victim. The term includes all misdemeanors that have as an element the use or attempted use of physical force or the threatened use of a deadly weapon (e.g., assault and battery), if the offense is committed by one of the defined parties. (See Exception in the definition of "Prohibited Person"). A person who has been convicted of a misdemeanor crime of domestic violence also is not prohibited unless (1) the person was represented by a lawyer or gave up the right to a jury, or gave up the right to a jury trial. Persons subject to this exception should mark "no" in the applicable box.

Privacy Act Information

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552(e)(3)):

- 1. Authority: Solicitation of this information is made pursuant to the National Firearms Act (NFA) 26 U.S.C. §§ 5812 and 5822. Disclosure of this information by the applicant is mandatory for the making or transfer of an NFA firearm.
- 2. Purpose: To ensure payment of the tax imposed by 26 U.S.C. §§ 5811 and 5821; to ensure that the making or transfer would not violate law; and to effect the registration of the firearm.
- 3. Routine Uses: The information will be used by ATF to make the determinations set forth in paragraph 2. In addition, to effect registration of the firearm, the description of the firearm, date of registration, and identification and address of the person entitled to possess the firearm will be entered in the National Firearms Registration and Transfer Record
- 4. Effect of not Supplying Information Requested: Failure to supply complete information may delay processing and may cause denial of the application.

Paperwork Reduction Act Notice

This information request is in accordance with the Paperwork Reduction Act of 1995. The information provided is used in applying to make or transfer NFA firearms. Data is used to identify the maker; the transferor and transferee; and the firearm being made or transferred; and to ensure legality of the making or transfer.

The estimated burden associated with this collection of information is .25 hours per respondent or recordkeeper, depending upon individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Information Technology Coordination Staff, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

TRUST EXAMPLE FORM FBI FORM FD-258

SuppressEd

EasyGuide

APPLICANT	TYPE OR P	RINT ALL INFOR	MATION IN E	BLACK MIDDLE NAME		FBI	LEAVE	BLAN	<	
* See Privacy Act Notice on Back FD-258 (Rev. 9-9-13) 1110-0046		INDIVIDUAL	ЈОНИ		DOE	-				
SIGNATURE OF PERSON FINGERPRINTED	1 Turner	ALIASES AKA	OR			- 1				
RESIDENCE OF PERSON FINGERPRINTED	1_INDIVIBUAL	1	1					DATE OF BIR	RTH	DOB Year
123 MAIN STREET SALT LAKE CITY, UT 8411	6	CITIZENSHIP CTZ	SEX	RACE HG	it. Wgt.	EYES	HAIR	PLACE OF E	XX :	XXXX
DATE SIGNATURE OF OFFICIAL TAI	KING FINGERPRINTS	U.S. CITIZEN	M		4" 200		BRO	SALT	LAKI	E CITY, U
	E OFFICER	YOUR NO. OCA	Г			LEAVE BL	ANK			
EMPLOYER AND ADDRESS UTAH HIGHWAY PATRO	L	FBI NO. FBI								
456 MAIN STREET SALT LAKE CITY, UT 8411	6	ARMED FORCES NO. MNU	J CL	ASS						
REASON FINGERPRINTED SILENCER PURCHASE		SOCIAL SECURITY NO. SC XXX-XX-XXXX MISCELLANEOUS NO. MNI	,	REF.						
	2. R. INDEX	3. R. MIDDLE		4. R. RING			5. R. LII			
6.L. THUMB	2. R. NDEX	8. L MIDDLE		9. L RING			6. R. UI	THE STATE OF THE S		
T FOOM AT LEASTA	KENJANAZANICOUSLY		R. THOMB			Wangers 1	AKER	and the same and t		

ATF E-Form 5320.23 Revised May 2016

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FEDERAL BUREAU OF INVESTIGATION UNITED STATES DEPARTMENT OF JUSTICE

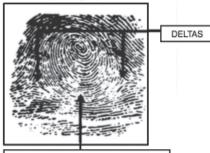
CJIS DIVISION/CLARKSBURG, WV 26306

1.LOOP

CENTER OF LOOP DELTA 2030

THE LINES BETWEEN CENTER OF LOOP AND DELTA MUST SHOW

2.WHORL



THESE LINES RUNNING BETWEEN DELTAS MUST BE CLEAR

3. ARCH



ARCHES HAVE NO DELTAS

FD-258 (REV. 9-9-13)

APPLICANT

THIS CARD FOR USE BY:

- 1. LAW ENFORCEMENT AGENCIES IN FINGERPRINTING APPLICANTS FOR LAW ENFORCEMENT POSITIONS.*
- 2. OFFICIALS OF STATE AND LOCAL GOVERNMENTS FOR PURPOSES OF EMPLOYMENT, LICENSING, AND PERMITS, AS AUTHORIZED BY STATE STATUTES AND APPROVED BY THE ATTORNEY GENERAL OF THE

UNITED STSTES. LOCAL AND COUNTY ORDINANCES, UNLESS SPECIFICALLY BASED ON

- APPLICABLE STATE STATUTES DO NOT SATISFY THIS REQUIREMENT.*
- 3. U.S. GOVERNMENT AGENCIES AND OTHER ENTITIES REQUIRED BY FEDERAL LAW.** 4. OFFICIALS OF FEDERALLY CHARTERED OR INSURED BANKING INSTITUTIONS TO PROMOTE OR MAINTAIN
- THE SECURITY OF THOSE INSTITUTIONS.

Priesse review this height information to aid in the successful processing of hard copy criminal and civil fingerprint submissions in order to prevent delays or rejections. Hard copy fingerprint submissions must meet specific criteria for processing by the Federal Bureau of Investigation.

Ensure all Information is typed or legibly printed using blue or black link.

Enter data within the boundaries of the designated field or block.

Complete all required fields. (If a required field is let blank, the fingerprint card may be immediately rejected without further processing.)

The required fields for hard copy fingerprint cards are: originating agency identifier number - date of birth - place of birth - name - sex fingerprint impressions - any applicable state stamp - Other (race, height, weight, eye color, hair color)

*criminal fingerprint cards also require an arrest charge and date of arrest.

criminal fingerprint cards also require an arrest charge and date of arrest.
 civil fingerprint cards also require a reason fingerprinted and date fingerprinted.

Do not use highlighters on fingerprint cards.
Do not enter data or labels within "Leave Blank' areas.
Ensure the "Reply Desired" fled is checked when applicable (criminal only).
Ensure fingerprint impressions are rolled completely from nail to nail.
Ensure fingerprint impressions are in the correct sequence.
Ensure notations are made for any missing fingerprint impression (i.e. amputation).
Do not use more than two relabs per fingerprint impression block.
Ensure no stray marks are within the fingerprint impression blocks.

Training aids can be ordered online via the Internet by accessing the FBI's website at: fbi.gov, click on 'Fingerprints', then click on 'Ordering Fingerprint Cards & Training Aids'. Direct questions to the identification and Investigative Services Section's Customer Service Group at (304) 625-5590 or by e-mail at claison@lec.gov>.

PRIVACY ACT STATEMENT

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub.L. 92-544, Presidential Executive Orders, and federal. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Social Security Account Number (SSAN). Your SSAN is needed to keep records accurate because other people may have the same name and birth date. Pursuant to the Federal Privacy Act of 1974 (5 USC 552a), the requesting agency is responsible for informing you whether disclosure is mandatory or voluntary, by what statutory or other authority your SSAN is solicited, and what uses will be made of it. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other war available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprint and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety

Additional Information: The requesting agency and/or the agency conducting the application-investigation will provide you additional information pertinent to the specific circumstances of this application, which may include identification of other authorities, purposes, uses, and consequences of not providing requested information. In addition, any such agency in the Federal Executive Branch has also published notice in the Federal Register describing any system(s) of records in which that agency may also maintain your records, including the authorities, purposes, and routine uses for the system(s).

INSTRUCTIONS:

- * 1. PRINTS MUST GENERALLY BE CHECKED THROUGH THE APPROPRIATE STATE IDENTIFICATION BUREAU, AND ONLY THOSE FINGERPRINTS FOR WHICH NO DISQUALIFYING RECORD HAS BEEN FOUND LOCALLY SHOULD BE SUBMITTED FOR FBI SEARCH.
- 2. IDENTITY OF PRIVATE CONTRACTORS SHOULD BE SHOWN IN SPACE "EMPLOYER AND ADDRESS". THE
- CONTRIBUTOR IS THE NAME OF THE AGENCY SUBMITTING THE FINGERPRINT CARD TO THE FBI.
- 3. FBI NUMBER, IF KNOWN, SHOULD ALWAYS BE FURNISHED IN THE APPROPRIATE SPACE.
- ** MISCELLANEOUS NO. RECORD: OTHER ARMED FORCES NO. PASSPORT NO. [FP], ALIEN REGISTRATION NO. (AR), PORT SECURITY CARD NO. (PS), SELECTIVE SERVICE NO. (SS) VETERANS' ADMINISTRATION CLAIM NO. (VA).

FAZK FORMS

Which Forms Do I Need?

If you're filing as a **Trust**, use the following:

BATFE Form 4 (5320.4) BATFE Form 5320.23

Not Included: FBI Form FD-258 (Fingerprints)

Not Included: Passport Photos

If you're filing as an **Individual**, use the following:

BATFE Form 4 (5320.4)

Not Included: FBI Form FD-258 (Fingerprints)

Not Included: Passport Photos



OMB No. 1140-0014 (xx/xx/xxxx)

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Application for Tax Paid Transfer and Registration of Firearm

AT	F Control Number													
	BMIT in DUPLICATE to:	National Firearn Bureau of Alcoho			d Explosiv	es, P.	O. Box 530	298, Atlan	ta, GA 303	53-029	98			
1.	Type of Transfer (Check		2a						ame, if any)			on 2d)		
	S \$5 S \$200													
The order	omit the appropriate tax payments tax may be paid by credit of the Please complete item 20 lication, we will affix and cate arms Act stamp. (See instruction)	or debit card, check, or . Upon approval of ancel the required Na	or money the ational											
riic	tains Act stamp. (See instru	actions 20, 21 and 3)										2b. County	/	
				O INDIVI	DUAL		TRUST	or LEGAL	ENTITY					
3a.	Transferor's Name and Ad	idress (Include trad	le name, if an	ny) (Executors.	: see instru	iction	2k)							
					3b. e-mail	addr	ess (optiona	al)	3c. 7	Transfe	eror's Te	lephone (Ar	rea Code	e and Number)
3d.	3d. If Applicable: Decedent's Name, Address, and Date of Death 3e. Number, Street, City, State and Zip Code of Residence (or Firearms Business Premises) If Different from Item 3a.								Premises) If					
	above-named and undersign	ned transferor hereb	y makes app	lication as req	uired by Se	ction	5812 of the	National F	Firearms Ac	et to tra	insfer an	d register th	ne firear	m described
4.	Description of Firearm (C									d.	Model	el		
					of Firearm c. Caliber or Length e. (Inches)				e. Of Barre	:1:	f. Overall:			
										g.	Serial N	umber		
h.	Additional Description or	Data Appearing on	Firearm (Ata	tach additiona	l sheet if ne	ecessa	ury)							LA.
5.	Transferee's Federal Firea	rms License (If any))			6.	Transferee	's Special (Occupation	al) Tax	x Status	(If any)		
(Gi	ve complete 15-digit number	r) (See instruction 2c	c)			a.	Employer	Identification	on Number		b. Cl	ass		
	First 6 digits	2 digits	2 digits	5 di	igits									
7.	Transferor's Federal Firea	rms License (If any))			8.	Transferor	's Special (Occupation	al) Tax	x Status	(If any)		
	First 6 digits	2 digits	2 digits	5 di	igits	a.	Employer	Identificati	ion Number		b. Cl	ass		
the	der Penalties of Perjury, I described firearm to the tranted States Code; Chap 53; or	sferee and receipt ar	nd possession	n of it by the t										
9.	Signature of Transferor (C	Or authorized officia	d)			10.	Name and	Title of Au	uthorized O	fficial	(Print oi	r type) 11.	Date	
				or the use of th			A CONTRACTOR OF THE PARTY OF TH							
	Authority of The Director, T scribed Herein and the Inters							the Firearr	m St	tamp D	Denomin	ation		
	Approved (With the follow	wing conditions, if a	nny)				Disapprov	ed (For the	e following	reason	as)			
Sign	nature of Authorized ATF O	Official	1										Date	1
Pre	vious Editions are Obsolete				ATF	Сору								Form 4 (5320.4) May 2016

	Transferee Certification						
12.	Law Enforcement Notification (See instruction 2f)						
	e transferee is to provide notification of the proposed acquisition and possession of the firearm describ npleted form to the chief law enforcement officer in the agency identified below:	oed or	n this F	Form 4 by providing a	сору	of the	
Age	ency or Department Name Name and Title of Official			-			
Ado	dress (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered))						
have	Information for the Chief Law Enforcement Officer is form provides notification of the transferee's intent to acquire and possess a National Firearms Act (NFA) firearm is information that may disqualify this person from acquiring or possessing a firearm, please contact the NFA Brains 14.a through 14.h or 16.a or 16.b could disqualify a person from acquiring or possessing a firearm. Also, ATF the firearm is in violation of State or local law.	ich at	(304) 61	16-4500 or NFA @atf.go	v. A '	'Yes" an	swer to
13.	Transferee Necessity Statement (See instruction 2e)						
	I,, have a reasonable necessity to p	ossess	the ma	chinegun, short-barreled	rifle,		
	(Name and Title of Transferee) short-barreled shotgun, or destructive device described on this application for the following reason(s)						
	and my possession of the device or weapon would be consistent with public safety (18 U.S.C. § 922(b) (4) and 2	27 CFI	R § 478.	.98).			
	insferee Questions (Complete Only When Transferee is An Individual)						
14.	Answer questions 14.a. through 14.h. Answer questions 16 through 17 if applicable. For any "Yes" answer the instruction 7b and definitions)				arate s	sheet. (S	See
		Yes	No	15. Photograph			
a.	Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 1m)	0	0				
b.	Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition Im)	0	0	A 60	-		
c.	Are you a fugitive from justice? (See definitions 1s)	0	0	Aff Recent Photo		Here	
d.	Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.	0	0	(Approximat (See instru			
e.	Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See definitions In and 10)	0	0				
f.	Have you been discharged from the Armed Forces under dishonorable conditions?	0	0				
g.	Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 1p)	0	0				
h.	Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 1q)	0	0				
16a	. Country of Citizenship (Check/List more than one, if applicable. Nationals of the United States may check U.S.	.A.) (S	See defir	nition 1r)			
	United States of America Other Country/Countries (specify):						
b.	Have you ever renounced your United States citizenship?					Yes	No O
c.	Are you an alien Illegally or unlawfully in the United States?					0	0
d.1.	Are you an alien who has been admitted to the United States under a nonimmigrant visa?					0	0
d.2.	If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the app	licatio	n	O	N/A		0
17.	If you are an alien. record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):						
forr doc	RTIFICATION: Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon subn will be directed to the chief law enforcement officer (CLEO) shown in item 12, that the statements, as appuments in support thereof, are true and correct to the best of my knowledge and belief. NOTE: See instruending on the type of transferee.	olicab	le, cont	ained in this certificatio	n, and	d any at	tached
Sign	nature of Transferee ATF Copy Date					orm 4 (53: May 2016	-

8. Number	of Respon	nsible l	Persons (see definitions,) associated	with the	transfer	e trust or lega	1 entity				
Respon	sible Perso	ns thai	inted or typed) below for can be listed on the for Firearms Act (NFA) Re	rm, attach	separate	sheet lis	ting the additi	onal Res	ponsible Pers	son(s)). Plea	se note th	nat a completed
Full Name							Full Name					
			2									
												
20 Method	of Paymen	t (Chec	ck one) (See instruction 2i)	(if paying b	v credit/de	hit card	complete the sec	tions belo	w)			
			Cashier's Check or	_			Mastercard		American	D Di	scover	Diners Club
	Enclosed)		Money Order (Enclosed)		Visa				Express	<u>U</u> 11		
Credit/Debit (Card Numbe	er (No d	lashes)			Name a	s Printed on the	Credit/De	ebit Card		Expiration	n Date (Month & year)
	Addre	ess:										
Credit/Debit (Billing Addre					State:				Zij	p Code:		
										Total Amo	unt:	
										\$	uiit.	
Authorize A	TF to Charg	ge my C	redit/Debit Card the Tax	Amount.								
ř												
			Signature of Cardholder	ţ							Date	
			arged the above stated amount of approved, the above a							r credit/debit o	card statem	nent.
f you are the	current regi	strant o	I f the firearm described on				rently Register		rms			
Estate Proce	dures: For	procedi	ures regarding the transfer, Tobacco, Firearms and E	of firearms i	n an estate	resulting	from the death	of the reg	istrant identifie	ed in item 2a,	the executo	or should contact the
Change of A	ddress: Un	less cur	rently licensed under the OVV 25405, in writing, of a	Gun Control	Act, the re	gistrant sh	all notify the N		h, Bureau of A	lcohol, Tobac	co, Fireari	ms, and Explosives,
Change of De	escription:	The reg	gistrant shall notify the NF cription of the firearm(s) i	A Branch, E				s and Exp	olosives, 244 N	leedy Road, N	lartinsburg	g, WV 25405, in
			earm identified in item 4 in permission from ATF p									
Restrictions of irearm.	on Possessio	on: An	y restriction (see approval	l block on fac	ce of form)	on the po	ssession of the f	ĭrearm ide	entified in item	4 continues v	with the fu	rther transfer of the
Persons Proh lispose of the		Posses	ssing Firearms: If the reg	gistrant beco	mes prohib	oited from	possessing a fir	earm, plea	ase contact the	NFA Branch	for proced	lures on how to
Proof of Regi equest.	istration: A	A persor	n possessing a firearm reg	istered as req	uired by th	ne NFA sh	all retain proof	of registra	ation which sha	all be made av	ailable to	any ATF officer upon
	o identify tra		quirements of the Paperwor, transferee, and firearm,		n Act of 19	995. The						
concerning th	e accuracy of	of this b	sociated with this collection orden estimate and suggest cohol, Tobacco, Firearms	stion for redu	cing this b	ourden sho	uld be addresse					
An agency ma	ay not condu	act or sp	oonsor, and a person is not	t required to	respond to,	, a collect	on of information	on unless	it displays a cu	irrently valid (OMB contr	rol number.
											Α.	TE E Form 4 (5320.4)

ATF E-Form 4 (5320.4) Revised May 2016

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OMB No. 1140-0014 (xx/xx/xxxx)

U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives

Application for Tax Paid Transfer and Registration of Firearm

		E ALL S ON			S-1						WIND YARK BURNER OF
ATF Control Number										F.	
SUBMIT in DUPLICATE		earms Act Brand lcohol, Tobacco,		d Explosiv	es. P	O. Box 530	298. Atlanta. G	A 3035	3-0298		
. Type of Transfer (Ch							ide trade name, ij			ion 2d)	
O \$5 O \$2	100						-				
Submit the appropriate tax in the tax may be paid by cree											
rder. Please complete iten											
pplication, we will affix ar											
irearms Act stamp. (See in	nstructions 2b, 2i a	nd 3)								2b. County	
			O INDIVI	DUAL	ř	TRUST	or LEGAL ENT	TTY		20. County	
a. Transferor's Name and	d Address (Include	trade name if an			uction						
a. Transferor 5 Transe and	arradiess (memae	ir date ridirie, y dri	<i>y</i>) (2.000000	. dee mon		. 2.19					
				3b. e-mai	il add	ress (optiona	al)	3c. Ti	ransferor's T	elephone (Area Cod	de and Number)
d. If Applicable: Decede	ent's Name, Addres	s, and Date of Dea	ath					de of F	Residence (o	r Firearms Busines.	s Premises) If
				Diff	erent	from Item 3:	a.				
he above-named and unde	rsigned transferor h	ereby makes appl	lication as req	uired by S	ection	n 5812 of the	National Firearn	ns Act	to transfer a	nd register the firea	rm described
elow to the transferee.											
. Description of Firearn									d. Model		
 Name and Address of Importer of Firearm 	Maker, Manufactu	rer and/or		of Firearn			c. Caliber or				
importer of Filearin			(See definitions				Gauge		Length	e. Of Barrel:	f. Overall:
								((Inches)		
								٤	g. Serial l	Number	
. Additional Description	n or Data Appearin	g on Firearm (Att	tach additiona	ıl sheet if n	ecess	ary)					
T 6 1 5 1 1 5		C .			1	ТС	I- C:-1/O		I) Tan Ctatus	(IC)	
. Transferee's Federal F	10				6. a.		s's Special (Occup Identification Nu			lass	4
Give complete 15-digit nur	V = 1.00 0		1 .,	,	a.	Linployer	racintification iva	moer	0.	1433	
First 6 digits	2 digits	2 digits	<u> 5 d</u>	igits	-						
		1	1								
. Transferor's Federal F	irearms License (I)	fany)			8.		's Special (Occup		l) Tax Status	s (If any)	
First 6 digits	2 digits	2 digits	5 d	igits	a.	Employer	Identification Nu	ımber	b. C	lass	
	i	i	i								
L D W CD '	I D. I	1	1		1	- C 11	1-4 4 b -1:- 6 ie			lleteleber	t the turnefor of
Inder Penalties of Perjure ne described firearm to the											
Inited States Code; Chap 5				runsiere e		· promoted	of the provisions	01 110	10, 011110	States Coue, Chap	,
. Signature of Transfero	or (Or authorized o	fficial)			10.	Name and	Title of Authoriz	ed Off	icial (Print o	or type) 11. Date	e
	The	naga Palow is fo	r the use of t	ho Duroou	of A	leohol Tob	acco Firearms a	nd Ev	nlocives		
By Authority of The Direct		pace Below is for Has Been Exam							mp Denomi	nation	
Described Herein and the In										very set to the	
7 1 200 1 200 1 2 2		:c)	353555			D:	A (F 11 6 2)				
Approved (With the f	ollowing conditions	i, ij any)				Disapprove	ed (For the follo	wing re	eusons)		
									117		
ignature of Authorized AT	F Official									Date	e
Previous Editions are Obso	lete			ATE	Con	v				ATF E-	Form 4 (5320.4)

Revised May 2016

	Transferee Certification							
12.	Law Enforcement Notification (See instruction 2f)							
	e transferee is to provide notification of the proposed acquisition and possession of the firearm describ npleted form to the chief law enforcement officer in the agency identified below:	oed or	n this F	Form 4 by providing a	copy	of the		
Age	ency or Department Name Name and Title of Official							
Ado	dress (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered))							
have	Information for the Chief Law Enforcement Officer is form provides notification of the transferee's intent to acquire and possess a National Firearms Act (NFA) firearm is information that may disqualify this person from acquiring or possessing a firearm, please contact the NFA Brains 14.a through 14.h or 16.a or 16.b could disqualify a person from acquiring or possessing a firearm. Also, ATF the firearm is in violation of State or local law.	nch at	(304) 6	16-4500 or NFA @atf.go	v. A '	"Yes" an	swer to	
13.	Transferee Necessity Statement (See instruction 2e)							
	I,, have a reasonable necessity to p	ossess	the ma	chinegun, short-barreled	rifle,			
	(Name and Title of Transferee) short-barreled shotgun, or destructive device described on this application for the following reason(s)							
	and my possession of the device or weapon would be consistent with public safety (18 U.S.C. § 922(b) (4) and 2	27 CF	R § 478.	.98).				
	insferee Questions (Complete Only When Transferee is An Individual)							
14.	Answer questions 14.a. through 14.h. Answer questions 16 through 17 if applicable. For any "Yes" answer the instruction 7b and definitions)				arate	sheet. (S	See	
		Yes	No	15. Photograph				
a.	Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 1m)							
b.	Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition Im)	0	0		c			
c.	Are you a fugitive from justice? (See definitions 1s)	0	0	Aff Recent Photo		Here		
d.	Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.	0	0	(Approximat (See instru				
e.	Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See definitions In and 10)	0	0					
f.	Have you been discharged from the Armed Forces under dishonorable conditions?	0	0					
g.	Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 1p)	0	0					
h.	Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 1q)	0	0					
16a	. Country of Citizenship (Check/List more than one, if applicable. Nationals of the United States may check U.S.	(A.) (S	See defir	nition 1r)				
	United States of America Other Country/Countries (specify):							
b.	Have you ever renounced your United States citizenship?					Yes	No O	
C.	Are you an alien Illegally or unlawfully in the United States?					0	0	
d.1.	Are you an alien who has been admitted to the United States under a nonimmigrant visa?					0	0	
d.2.	If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the app	licatio	n	O	N/A		0	
17.	If you are an alien. record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):			· ·				
forr doc	RTIFICATION: Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon subn will be directed to the chief law enforcement officer (CLEO) shown in item 12, that the statements, as appuments in support thereof, are true and correct to the best of my knowledge and belief. NOTE: See instruending on the type of transferee.	olicab	le, cont	ained in this certificatio	n, and	d any at	tached	
Sign	nature of Transferee ATF Copy Date					orm 4 (53: May 2016	20.4)	

8. Number of	Responsible	Persons (see definitions)	associated	l with the	e transfere	ee trust or lega	l entity				
Responsibl	e Persons tha	rinted or typed) below for n can be listed on the forr Firearms Act (NFA) Resp	n, attach	a separate	e sheet lis	ting the additi	onal Res	ponsible Pers	son(s)). Plea	se note th	nat a completed
Full Name						Full Name					
	1-										
20 Made 1 - 6	n	1 1/6 :	· · · · · · · · · · · · · · · · · · ·	1:4/1	1.74 1	144	b.l.	>			· · · · · · · · · · · · · · · · · · ·
20. Method of	Payment (Cne	ck one) (See instruction 2i) (_		ebit card, o		tions being				
Check (Enc.	losed)	Cashier's Check or Money Order (Enclosed)	O	Visa	O	Mastercard	O	American Express		scover	O Diners Club
Credit/Debit Card	Number (No a	lashes)			Name a	s Printed on the	: Credit/D	ebit Card		Expiration	Date (Month & year)
	Address:										
Credit/Debit Card Billing Address:	City:			State:				Zii	p Code:		
,g	City.			otate.				2,	p 00 00 .		
									Total Amo	unt:	
Authorize ATF	to Charge my (Credit/Debit Card the Tax Ar	nount.		-						
		Signature of Cardholder				_			-	Date	
		arged the above stated amou NOT approved, the above am							r credit/debit c	ard statem	ent.
			_			rently Register		rms			
Estate Procedur	es: For proced	of the firearm described on the ures regarding the transfer of Tobacco, Firearms and Exp	firearms i	n an estate	e resulting	from the death	of the reg	istrant identifie	ed in item 2a, t	he executo	or should contact the
Change of Addr	ess: Unless cur	rrently licensed under the Gu WV 25405, in writing, of any	n Control	Act, the re	egistrant sh	all notify the N		h, Bureau of A	Icohol, Tobac	co, Firearr	ms, and Explosives,
Change of Descr	iption: The re	gistrant shall notify the NFA cription of the firearm(s) in	Branch, E				s and Exp	olosives, 244 N	leedy Road, M	lartinsburg	, WV 25405, in
		rearm identified in item 4 is a in permission from ATF pric									
Restrictions on I ĭrearm.	Possession: Ar	y restriction (see approval b	lock on fac	ce of form,	on the po	ssession of the	firearm ide	entified in item	4 continues v	vith the fur	rther transfer of the
Persons Prohibit lispose of the fire		ssing Firearms: If the regis	strant beco	mes prohi	bited from	possessing a fir	rearm, ple	ase contact the	NFA Branch	for proced	ures on how to
Proof of Registra equest.	ation: A perso	n possessing a firearm regist	ered as req	uired by t	he NFA sh	all retain proof	of registra	ation which sha	all be made av	ailable to a	any ATF officer upon
	entify transfero	quirements of the Paperworl r, transferee, and firearm, an		n Act of 1	995. The		provide i				
concerning the ac	curacy of this b	sociated with this collection ourden estimate and suggesti lcohol, Tobacco, Firearms ar	on for redu	cing this	burden sho	uld be addresse					
An agency may n	ot conduct or s	ponsor, and a person is not re	equired to	respond to	o, a collect	on of informati	on unless	it displays a cu	irrently valid (OMB contr	rol number.
										Α,	TE E Form 4 (5220 4)

ATF E-Form 4 (5320.4) Revised May 2016

ATF Copy

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

OMB No. 1140-0107 (06/30/2019)

National Firearms Act (NFA) **Responsible Person Questionnaire**

	AGATO GOLDON		75.7,2,35	
			FD-258 and photograph, will be submitted by chief law enforcement officer. (See I	ed with the ATF Form 1, 4, or 5 (to the address Instructions)
	box to indicate with which ATF form ATF Form 4		will be submitted.	
. Name and Address of Applica	ant or Transferee (as shown on the A	TF Form 1, 4 or 5)	(see instruction 2)	
a. Name and Home Address of	Responsible Person		3b. Telephone (Area code and Number	er)
			3c. e-mail address (optional)	
			3d. Other names used (including maio	den name)
a. Type of Firearm (see definiti	ion 5)			3e. Photograph
a. Type of theatm (see definiti	on sy			Se. Thoughaph
b. Name and Address of Make	r, Manufacturer and/or Importer of F	irearm		
				Affix recent Photograph Here
•				(Approximately 2" x 2") (See instruction 3b)
c. Firearm Model	4d. Caliber or Gauge	4e. Firearm Seria	l Number	
. Law Enforcement Notification	n (See instruction 5)			
				otification of the proposed making or acquisition between the officer (CLEO) in the agency identified
gency or Department Name			Name and Title of Official	
ddress (Street address or P.O. I	Box, City, State and Zip Code) to wh	ich sent (mailed or	delivered)	

Information for the Chief Law Enforcement Officer

This form provides notification of the maker or transferee's intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from making or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or NFA@atf.gov. A "Yes" answer to items 6h or item 7b or 7c could disqualify a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transfer or possession of the firearm would be in violation of State or local law.

6. Answer questions 6.a through 6.h. Answer questions 7 and 8 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet. (See definitions 8-12)		
(See definitions 0-12)	Yes	No
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 8)	0	0
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 8)	0	0
c. Are you a fugitive from justice? (See definition 13)	0	0
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether is has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.	0	0
e. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See definitions 9 and 10)	0	0
f. Have you been discharged from the Armed Forces under dishonorable conditions?	0	0
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 11)	0	0
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 14)	0	0
7a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) (See definition 12)		
United States of America Other Country/Countries (specify):		
	Yes	No
b. Have you ever renounced your United States citizenship?	0	0
c. Are you an alien illegally or unlawfully in the United States?	0	0
d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?	0	0
d.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the questionnaire	0	0
8. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):		
CERTIFICATION: Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a complete form will be directed to the chief law enforcement officer (CLEO) shown in item 5, that the statements contained in this certification, and any attack support thereof, are true and correct to the best of my knowledge and belief.		
Signature of Responsible Person Date		
Instructions 1. Completion: Each responsible person (see definition 4) of a trust or legal entity seeking to make or acquire a National Firearms Act (NFA) firearm shall c duplicate. (see instruction 9)	omplete th	is form in
 a. Each responsible person must submit his/her fingerprints and photograph with this form (see below). b. Please note that this form is not required when the applicant on Form 1, 4 or 5 is an individual. 2. Item 2- Enter the name, trade name (if any) and address of the trust or legal entity identified on the Form 1 (items 3a and b); Form 4 (item 2a); or Form 5 (items 3a). 	(item 2a)	
 3. Item 3- Responsible Person information a. Provide the information for the responsible person in items 3a through 3e. b. Item 3e - Photograph: The responsible person shall attach, in item 3e on the ATF copy of the form only, a 2-inch by 2-inch frontal view photograph to prior to the date of the filing of the form. Item 3c is obscured on the CLEO copy. 4. Firearm information a. Type of NFA firearm: see definition 5 and as identified in item 4b of Form 1, 4, or 5 b. Name of maker, manufacturer and/or importer: as identified in item 4a of Form 1, 4, or 5 c. Firearm Model: identified in item 4d of Form 1, 4, or 5 d. Caliber or Gauge: identified in item 4c of Form 1, 4 or 5 	ken within	one year
 e. Firearm Serial Number: identified in item 4g of Form 1, 4 or 5. Item 4e is obscured on the CLEO copy. 5. Item 5- Law Enforcement Notification: Each responsible person must provide a notification on this form of the proposed making or acquisition of an NFA chief law enforcement officer having jurisdiction where the responsible person is located. The chief law enforcement officer is considered to be the Chief Sheriff; the Head of the State Police; or a State or local district attorney or prosecutor. 6. Complete items 6 through 8 7. Fingerprints: The responsible person shall submit, in duplicate with the ATF copy of this form, his or her fingerprints on FBI Form FD-258 and the finger 	f of Police;	the
for accurate classification and taken by someone properly equipped to take them. No fingerprints are required with the copy of the form sent to the chief officer.		ement
8. State or Local Permit: If the State in which the responsible person resides requires the responsible person to have a State or Local permit or licensee, a co	C -1	

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

OMB No. 1140-0107 (06/30/2019)

National Firearms Act (NFA) **Responsible Person Questionnaire**

				11 S. JA 11	
	The ATF copy of the form, will the other copy will be directed				with the ATF Form 1, 4, or 5 (to the address nuctions)
	box to indicate with which AT ATF Form 4 ATF		re will be submitted.		
Name and Address of Applic	eant or Transferee (as shown on	the ATF Form 1, 4 or 5	5) (see instruction 2)		
a. Name and Home Address of	f Responsible Person		3b. Telephone (Area code	e and Number)	,
			3c. e-mail address (option	nal)	
			3d. Other names used (inc	cluding maiden r	name)
n. Type of Firearm (see definit	tion 5)				3e. Photograph
o. Name and Address of Make	er, Manufacturer and/or Importe	er of Firearm			Affix recent Photograph Here (Approximately 2" x 2") (See instruction 3b)
:. Firearm Model	4d. Caliber or Gauge	4e. Firearm Seri	ial Number		
Law Enforcement Notification	on (See instruction 5)				
					eation of the proposed making or acquisition ent officer (CLEO) in the agency identified
gency or Department Name			Name and Title of O	fficial	
ddress (Street address or P.O.	Box, City, State and Zip Code)	to which sent (mailed o	or delivered)		

Information for the Chief Law Enforcement Officer

This form provides notification of the maker or transferee's intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from making or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or NFA@atf.gov. A "Yes" answer to items 6h or item 7b or 7c could disqualify a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transfer or possession of the firearm would be in violation of State or local law.

ATF Copy

ATF E-Form 5320.23 Revised May 2016

ATF E-Form 5320.23

Revised May 2016

9. Disposition: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1, 4 or 5. The other copy shall be directed to the

license must be submitted with this form.

10. Sign and date the form. The signature must be original.

responsible person's chief law enforcement officer identified in item 5 of this form.

6. Answer questions 6.a through 6.h. Answer questions 7 and 8 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet. (See definitions 8-12)									
	Yes	No							
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 8)	0	0							
b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 8)	0	0							
c. Are you a fugitive from justice? (See definition 13)	0	0							
d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether is has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.	0	0							
e. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See definitions 9 and 10)	0	0							
f. Have you been discharged from the Armed Forces under dishonorable conditions?									
g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 11)	0	0							
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 14)	0	0							
7a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) (See definition 12)									
United States of America Other Country/Countries (specify):									
	Yes	No							
b. Have you ever renounced your United States citizenship?	0	0							
c. Are you an alien illegally or unlawfully in the United States?									
d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa?									
d.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the questionnaire	0	0							
8. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):									
CERTIFICATION: Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a comple form will be directed to the chief law enforcement officer (CLEO) shown in item 5, that the statements contained in this certification, and any attach support thereof, are true and correct to the best of my knowledge and belief. Signature of Responsible Person Date									
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officer. 8. State or Local Permit: If the State in which the responsible person resides requires the responsible person to have a State or Local permit or licensee, a cop									

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

OMB No. 1140-0107 (06/30/2019)

National Firearms Act (NFA) Responsible Person Questionnaire

-				Responsible Person	n Questionnaire
				D-258 and photograph, will be submarked to the submarked of the submarked	nitted with the ATF Form 1, 4, or 5 (to the address ee Instructions)
Please check the app	ropriate box to indicate wi	th which ATF form thi	is questionnaire	will be submitted.	
ATF Form 1	ATF Form 4	ATF Form 5	1		
2. Name and Address o	f Applicant or Transferee	(as shown on the ATF)	Form 1, 4 or 5)	(see instruction 2)	
3a. Name and Home A	ddress of Responsible Pers	on		3b. Telephone (Area code and Nur	mber)
				3c. e-mail address (optional)	
				3d. Other names used (including n	naiden name)
4a. Type of Firearm (se	e definition 5)				3e. Photograph
4b. Name and Address	of Maker, Manufacturer a	·			Affix recent Photograph Here (Approximately 2" x 2") (See instruction 3b)
4c. Firearm Model	4d. Caliber or C	auge 4e.	. Firearm Serial	Number	
5. Law Enforcement No		5)			
					e notification of the proposed making or acquisition or orcement officer (CLEO) in the agency identified
Agency or Department 1	Name			Name and Title of Official	
g,					
Address (Street address	or P.O. Box, City, State a	nd Zip Code) to which	sent (mailed or	delivered)	

Information for the Chief Law Enforcement Officer

This form provides notification of the maker or transferee's intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from making or possessing a firearm, please contact the NFA Branch at (304) 616-4500 or NFA@atf.gov. A "Yes" answer to items 6h or item 7b or 7c could disqualify a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transfer or possession of the firearm would be in violation of State or local law.

ATF Copy

ATF E-Form 5320.23 Revised May 2016

ATF E-Form 5320.23

Revised May 2016

9. Disposition: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1, 4 or 5. The other copy shall be directed to the

license must be submitted with this form.

10. Sign and date the form. The signature must be original.

responsible person's chief law enforcement officer identified in item 5 of this form.

b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See definition 8) d. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether is has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside. c. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See definitions 9 and 10) f. Have you been discharged from the Armed Forces under dishonorable conditions? g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 11) h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 14) 7a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) (See definition 12) United States of America Other Country/Countries (specify): Yes b. Have you ever renounced your United States citizenship? c. Are you an alien illegally or unlawfully in the United States? d.1. Are you an alien who has been admitted to the United States? d.2. If "yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the questionnaire N/A If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#): CERTIFICATION: Under penalties imposed by 18 U.S.C. § 924 and 26 U.S.C. § 5861, I certify that, upon submission of this form to ATF, a completed copy of the form will be directed to the chief law enforcement officer (CLEO) shown in item 5, that the statements contained in this certification, and any attached document suppo	
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if you received a shorter sentence including probation? (See definition 8)	0
	0
	0
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 8)	0
Yes	No
6. Answer questions 6.a through 6.h. Answer questions 7 and 8 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet. (See definitions 8-12)	

1. Completion: Each responsible person (see definition 4) of a trust or legal entity seeking to make or acquire a National Firearms Act (NFA) firearm shall complete this form in duplicate. (see instruction 9)

- a. Each responsible person must submit his/her fingerprints and photograph with this form (see below).
- b. Please note that this form is not required when the applicant on Form 1, 4 or 5 is an individual.
- 2. Item 2- Enter the name, trade name (if any) and address of the trust or legal entity identified on the Form 1 (items 3a and b); Form 4 (item 2a); or Form 5 (item 2a)
- 3. Item 3- Responsible Person information
- a. Provide the information for the responsible person in items 3a through 3e.
- b. Item 3e Photograph: The responsible person shall attach, in item 3e on the ATF copy of the form only, a 2-inch by 2-inch frontal view photograph taken within one year prior to the date of the filing of the form. Item 3e is obscured on the CLEO copy.
- Firearm information
- a. Type of NFA firearm: see definition 5 and as identified in item 4b of Form 1, 4, or 5
- b. Name of maker, manufacturer and/or importer: as identified in item 4a of Form 1, 4, or 5
- c. Firearm Model: identified in item 4d of Form 1, 4, or 5
- d. Caliber or Gauge: identified in item 4c of Form 1, 4 or 5
- e. Firearm Serial Number: identified in item 4g of Form 1, 4 or 5. Item 4e is obscured on the CLEO copy.
- 5. Item 5- Law Enforcement Notification: Each responsible person must provide a notification on this form of the proposed making or acquisition of an NFA firearm to his/her chief law enforcement officer having jurisdiction where the responsible person is located. The chief law enforcement officer is considered to be the Chief of Police; the Sheriff; the Head of the State Police; or a State or local district attorney or prosecutor.
- 6. Complete items 6 through 8
- 7. Fingerprints: The responsible person shall submit, in duplicate with the ATF copy of this form, his or her fingerprints on FBI Form FD-258 and the fingerprints must be clear for accurate classification and taken by someone properly equipped to take them. No fingerprints are required with the copy of the form sent to the chief law enforcement officer.
- 8. State or Local Permit: If the State in which the responsible person resides requires the responsible person to have a State or Local permit or licensee, a copy of the permit or license must be submitted with this form.
- 9. Disposition: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1, 4 or 5. The other copy shall be directed to the responsible person's chief law enforcement officer identified in item 5 of this form.
- 10. Sign and date the form. The signature must be original.

ATF Copy ATF Copy ATF Copy ATF Copy Revised May 2016

Mailing Checklist

Errors in your submission may cause delays. Follow the mailing checklist to ensure you haven't missed any necessary steps. Contact SilencerCo if you encounter any problems.

INDIVIDUAL

CLEO MAILING CHECKLIST

(1) Photocopy of BATFE Form 4 (5320.4) (Application for Tax Paid Transfer and Registration of Firearm)

BATFE MAILING CHECKLIST

- (1) \$200 Check to Bureau of Alcohol, Tobacco, Firearms, and Explosives
- ☐ **(2)** Original BATFE Form 4s (5320.4) (Application for Tax Paid Transfer and Registration of Firearm)
- Affix (1) Passport Photo to Item 15 of each Form 4,
- (2) Original FBI Form FD-258s (Fingerprint Card)

TRUST

CLEO MAILING CHECKLIST

- (1) Photocopy of BATFE Form 4 (5320.4) (Application for Tax Paid Transfer and Registration of Firearm)
- ☐ (1) Photocopy of Your BATFE Form 5320.23 (National Firearms Act Responsible Person Questionnaire)

All responsible persons mail a copy of their 5320.23 to their respective CLEO.

BATFE MAILING CHECKLIST

- ☐ (1) Photocopy of Trust Documentation
- (1) \$200 Check to Bureau of Alcohol, Tobacco, Firearms, and Explosives
- ☐ **(2)** Original BATFE Form 4s (5320.4) (Application for Tax Paid Transfer and Registration of Firearm)
- ☐ (1) Original BATFE Form 5320.23 (National Firearms Act Responsible Person Questionnaire) from *all* responsible persons
- ☐ Affix (1) Passport Photo to Item 3e on Form 5320.23 do **NOT** staple
- (2) Original FBI Form FD-258s (Fingerprint Card) from all responsible persons



CONTACT

INFO@SILENCERCO.COM 801.417.5384

SILENCERCO.COM/EASYGUIDE

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