SUPPRESSED™
THE EASY GUIDE TO BUYING A SILENCER
OWNING A SILENCER DOESN’T HAVE TO BE DIFFICULT.

SilencerCo’s® SuppressEd EasyGuide provides you with the simplest and most pleasant silencer buying experience possible. Whether this is your first or fourteenth silencer, our EasyGuide removes the mystery and confusion of navigating the ownership process.

TERMS & TIPS

1. The BATFE, or ATF, is the Bureau of Alcohol, Tobacco, Firearms, & Explosives, a law enforcement agency under the U.S. Department of Justice.

2. The National Firearms Act (NFA) of 1934 was enacted by Congress to provide for the taxation of manufacturers, importers, and dealers in certain firearms and machine guns, to tax the sale or other disposal of such weapons, and to restrict importation and regulate interstate transportation thereof.

Should I Purchase As An Individual or A Trust?

To purchase a silencer, your dealer will legally transfer the silencer to you with approval from the BATFE. You will need to decide if you want to purchase as an individual or through an NFA trust.

INDIVIDUAL

- Purchasing a silencer as an individual is convenient and quick

EasyGuide Checklist
Example Forms
Blank Forms

INDIVIDUAL BENEFITS
- No Legal Costs
- Less Paperwork
- Smaller Margin of Error

TRUST

- Purchasing a silencer through an NFA trust provides flexibility and peace of mind

EasyGuide Checklist
Example Forms
Blank Forms

TRUST BENEFITS
- Multiple Person Ownership
- Add New Owners At Any Time
- Property Protection After Death
The primary transfer and registration application for all Title II NFA Weapons (the legal classification for a silencer).

Passport photos can be obtained through several convenient channels, including shipping centers and pharmacies. We recommend speaking with your dealer for local suggestions.

Fingerprint cards may be taken by any business or government agency who is properly equipped to take fingerprints. All fingerprint cards should be completed in blue or black ink.

A CLEO is an officer of the law with authority over your county of residence’s jurisdiction who is notified of your intent to own and has the authority to present ineligibilities to the BATFE. Since this authority is not exclusive, multiple people may qualify as your CLEO. Ask your dealer about eligible local contacts to help you narrow your search.

Payment may be credit or debit card, cash, check, or money order. We recommend checks because when it clears, you know your forms are being reviewed. We also recommend writing the serial number of your suppressor on the memo line.

- Use our example form to carefully complete (2) included BATFE Form 4s (5320.4) and sign in blue or black ink.
- Obtain (2) passport photos and affix one to each Form 4; do NOT staple.
- Make (1) photocopy of your completed Form 4 with passport photo affixed.
- Use our example form to carefully complete (2) FBI Form FD-258s in blue or black ink.
- Identify your local Chief Law Enforcement Officer (CLEO).
- Write a $200 check to the BATFE.
- Use our included envelopes to mail your completed paperwork to the BATFE and your CLEO.

Your dealer will notify you when your silencer is ready for pickup.

### TERMS & TIPS

1. The primary transfer and registration application for all Title II NFA Weapons (the legal classification for a silencer).
2. Passport photos can be obtained through several convenient channels, including shipping centers and pharmacies. We recommend speaking with your dealer for local suggestions.
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5. Payment may be credit or debit card, cash, check, or money order. We recommend checks because when it clears, you know your forms are being reviewed. We also recommend writing the serial number of your suppressor on the memo line.

### INCLUDED EXAMPLE FORMS
- BATFE Form 4 (5320.4)
- FBI Form FD-258

### INCLUDED BLANK FORMS
- (2) BATFE Form 4s (5320.4)
INDIVIDUAL EXAMPLE FORM
BATFE FORM 5320.4

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Application for Tax Paid Transfer and Registration of Firearm

ATF Control Number:

Submit in duplicate to:
National Firearms Act Branch
Bureau of Alcohol, Tobacco, Firearms and Explosives
P.O. Box 502898, Atlanta, GA 30302-0098

1. Type of Firearm (Check one):
   A. $100
   B. $200
   The appropriate tax payment with the application. The tax may be paid by check or debit card, check, money order. Please complete item 20. (Upon approval of the application, no void stamps and cancel the required National Firearms Act stamp. (See instructions 26, 27, and 33).

2a. Transmitter's Name and Address: (Include trade name, if any) (See instruction 2b)
   JOHN INDIVIDUAL
   123 MAIN STREET
   SALT LAKE CITY, UT 84116

2b. Transmitter's Telephone (Area Code and Number)
   INDIVIDUAL
   TRUST OR LEGAL ENTITY
   3b. County
   UT
   SALT LAKE

2c. If applicable: Deedee's Name, Address, and Date of Death
   Joe, Street, City, State and Zip Code of Residence (or Firearms Business Premises) if different from item 2a.
   SILENCERCO, LLC
   5511 S 6055 W
   WEST VALLEY CITY, UT 84118

2d. Additional Description or Data Appearing on Firearm (Attach additional sheet if necessary)

3. Transmitter's Federal Firearms License (If any):
   A. Name and Address of Maker, Manufacturer and/or Importer of Firearm
   SILENCERCO, LLC
   5511 S 6055 W
   WEST VALLEY CITY, UT 84118

   B. Type of Firearm (See Affidavit)
   22LR

   C. Caliber or Gauge
   22 Sparrow SS

   D. Overall Length
   5.08

4. Transmitter's Federal Firearms License (If any):
   A. Transferor's Special (Occupational) Tax Status (If any)
   SILENCER
   22LR

   B. Transferor's Special (Occupational) Tax Status (If any)
   22 Sparrow SS

   C. Employer Identification Number
   XXX-XXX-XX

5. Endorsements of Indexes. I declare that I have examined this application, and to the best of my knowledge and belief, it is true, correct, and complete, and that the transfer of the described firears to the transferee and receipt and possession of it by the transferees are not prohibited by the provisions of Title 18, United States Code, Chap 44, Title 26, United States Code, Chap 32, or any provisions of State or local law.

Endorsements of Indexes. I declare that I have examined this application, and to the best of my knowledge and belief, it is true, correct, and complete, and that the transfer of the described firearm to the transferee and receipt and possession of it by the transferees are not prohibited by the provisions of Title 18, United States Code, Chap 44, Title 26, United States Code, Chap 32, or any provisions of State or local law.

6. Name and Title of Authorized Official (If any)
   JOE DEALER
   453 MAIN STREET
   SALT LAKE CITY, UT 84116

   B. Date
   MM/DD/YYYY

   C. Phone
   XXX-XXX-XX

7. Name and Address of Authorized Official (If any)
   JOE DEALER
   453 MAIN STREET
   SALT LAKE CITY, UT 84116

   B. Date
   MM/DD/YYYY

   C. Phone
   XXX-XXX-XX

8. By Authority of The Director, This Application Has Been Examinated, and the Transfer and Registration of the Firearm Described Herein and the Interstate Movement of that Firearm, When Applicable to the Transfer are: Stamped Pernmission
   Approved. (If the following conditions, if any)
   Disapproved. (For the following reasons)

9. Signature of Transmitter (or authorized agent) and Authorized Official
   JOE DEALER
   AUTHORIZED OFFICIAL
   MM/DD/YYYY

10. Signature of Transferee (or authorized agent) and Authorized Official

11. Date
   MM/DD/YYYY

Previous Editions are obsolete

ATF Copy

ATF Form 4 (5320.4), Revised May 2017

12. Law Enforcement Notification (See instruction 2e)
   The transfer is to provide notification to the proposed acquisition and possession of the firearm described on this Form 4 by providing a copy of the completed form to the chief law enforcement officer in the agency identified below:

   Agency or Department Name
   UTAH HIGHWAY PATROL
   JAMES OFFICER, LAW ENFORCEMENT OFFICIAL

   Address (Street address or P.O. Box, City, State and Zip Code) to which set mailed or delivered
   789 MAIN STREET
   SALT LAKE CITY, UT 84116

   Information to the Chief Law Enforcement Officer
   This Form provides notification of the transferor's intent to acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may dispel or disqualify this person from acquiring or possessing a firearm, please contact the NFA Branch at (404) 614-4520 or NFA (G.S.G.) A "Yes" answer to item 14(a) through 14(h) or 16(a) or 16(b) or 17 could disqualify a person from acquiring or possessing a firearm. Also, ATF will not approve an application for the transfer or possession of the firearm in violation of state or local law.

13. Transferor's Statement (See instruction 2e)
   I, JOE DEALER, (Name and Title of Transferee)
   HAVE A REASONABLE SUSPICION TO POSSESS THE MANUFACTURING, SHORT-BARRELED, SHORT-BARRELED DESIGN, OR DESTRUCTIVE DEVICE DESCRIBED IN THIS APPLICATION FOR THE FOLLOWING REASONS:

   A. ALL LAWFUL PURPOSES
   B. Possession of device or weapon would be consistent with public safety (18 U.S.C. 922(t)(3) and 16 U.S.C. 478)

14. Answer question (14a) through 14h. Answer questions (15i) through (17i) if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet. (See instructions 18 and 19)
   Yes No
   a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition a)
   b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could imprison you for more than one year, even if you received a shorter sentence including probation? (See definition b)
   c. Are you a fugitive from justice? (See definition c)
   d. Are you a convicted drug user or a convicted drug user or a convicted drug user? (See definition d)
   e. Are you an alien? (See definition e)
   f. Are you an alien? (See definition f)
   g. Have you been brought before the Armed Forces under dishonorable conditions? (See definition g)
   h. Are you subject to a court order restraining you from having, using, or possessing a firearm or an intimate partner or child of such person? (See definition h)
   i. Are you a convicted drug user or a convicted drug user? (See definition i)
   j. Are you an alien? (See definition j)
   k. Are you an alien? (See definition k)
   l. Are you an alien? (See definition l)
   m. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition m)
   n. The country of Citizenship (Check one, more than one may be applicable) (See definition n)
   o. Other Countries (Specify)

   15. Photograph
   Yes No
   a. Are you under indictment or information in any court for a felony or any other crime, for which the judge could imprison you for more than one year? (See definition a)
   b. Have you ever been convicted in any court for a felony or any other crime, for which the judge could imprison you for more than one year, even if you received a shorter sentence including probation? (See definition b)
   c. Are you a fugitive from justice? (See definition c)
   d. Are you a convicted drug user? (See definition d)
   e. Are you an alien? (See definition e)
   f. Have you been brought before the Armed Forces under dishonorable conditions? (See definition f)
   g. Are you subject to a court order restraining you from having, using, or possessing a firearm or an intimate partner or child of such person? (See definition g)
   h. Are you an alien? (See definition h)
   i. Are you a convicted drug user or a convicted drug user? (See definition i)
   j. Are you an alien? (See definition j)
   k. Are you an alien? (See definition k)
   l. Are you an alien? (See definition l)
   m. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition m)
   n. The country of Citizenship (Check one, more than one may be applicable) (See definition n)
   o. Other Countries (Specify)

   16. Signature of Transferee
   MM/DD/YYYY

   Signature of Transferee
   MM/DD/YYYY

   Signature of Transferee
   MM/DD/YYYY

   Signature of Transferee
   MM/DD/YYYY

   Signature of Transferee
INDIVIDUAL EXAMPLE FORM
FBI FORM FD-258

INDIVIDUAL              JOHN                        DOE

UTAH HIGHWAY PATROL
456 MAIN STREET
SALT LAKE CITY, UT 84116

SILENCER PURCHASE

U.S. CITIZEN                            M         W        6

BLU     BRO     SALT LAKE CITY, UT

XXX-XX-XXXX

123 MAIN STREET
SALT LAKE CITY, UT 84116

123 MAIN STREET
SALT LAKE CITY, UT 84116

INSTRUCTIONS:

1. PRINTS MUST BE LEGIBLY DIVIDED THROUGH THE APPROPRIATE areas DENOTING VISIBILITY AND ONLY THOSE
   Fingerprints Specified By Standard FBI Guidelines Are Required. Records Shown Should Be Submitted For The
   Search.

2. IDENTIT Y OF FINGERPRINTS SHOULD BE BOWED IN SHAPE TRANSFER AND ADDRESS THE
   APPLICANT IN THE NAME OF THE AGENT SUBMITTING THE FINGERPRINTS. THE

3. TS NUMBERS, IF ROOM, SHOULD ALWAYS BE INCLUDED IN THE APPENDICES. 

   **MAGELLANICS NO. RECORDS** OTHER ARMED FORCES NO. INSPIRO NO. JYK, ALIEN REGISTRATION NO.
   (APL PORT SECURITY CASE NO. JYK, BIZETTE SERVICES NO. (DEPARTMENT ADMINISTRATION CLAIM NO. JYK).
EasyGuide For **Trusts**

Follow our step-by-step guide to transfer your new silencer through an NFA trust.

- Use our example form to carefully complete (2) included BATFE Form 4s (5320.4) and sign in blue or black ink.
- Make (1) photocopy of your completed Form 4.
- Make (1) photocopy of your trust documentation.
- Identify all responsible persons (including yourself) and per person:
  - Use our example form to carefully complete (1) included BATFE Form 5320.23 and sign in blue or black ink for each responsible person.
  - Obtain (1) passport photo and affix to Form 5320.23 (do NOT staple) for each responsible person.
  - Make (1) photocopy of your completed Form 5320.23, with passport photo affixed, for each responsible person.
  - Use our example form to carefully complete (2) FBI Form FD-258s in blue or black ink for each responsible person.
- Identify your local Chief Law Enforcement Officer (CLEO).
- Write a $200 check to the BATFE.
- Use our included envelopes to mail your completed paperwork to the BATFE and your CLEO.

Your dealer will notify you when your silencer is ready for pickup.

**TERMS & TIPS**

1. The primary transfer and registration application for all Title II NFA Weapons (the legal classification for a silencer).
2. In the case of a trust, those persons with the power or authority to direct the management and policies of the trust include any person who has the capability to exercise such power and possesses, directly or indirectly, the power or authority under any trust instrument, or under State law, to receive, possess, ship, transport, deliver, transfer, or otherwise dispose of a firearm for, or on behalf of, the trust. We highly recommend that you speak with an attorney to clarify and ensure you avoid delays.
3. Form 5320.23 is the NFA Responsible Person Questionnaire. This form must be completed by all responsible persons, including the grantor of the NFA trust.
4. Passport photos can be obtained through several convenient channels, including shipping centers and pharmacies. We recommend speaking with your dealer for local suggestions.
5. Fingerprint cards may be taken by any business or government agency who is properly equipped to take fingerprints. All fingerprint cards should be completed in blue or black ink.
6. A CLEO is an officer of the law with authority over your county of residence’s jurisdiction who is notified of your intent to own and has the authority to present ineligibilities to the BATFE. Since this authority is not exclusive, multiple people may qualify as your CLEO. Ask your dealer about eligible local contacts to help you narrow your search.
7. Payment may be credit or debit card, cash, check, or money order. We recommend checks because when it clears, you know your forms are being reviewed. We also recommend writing the serial number of your suppressor on the memo line.
8. If a responsible person lives outside the jurisdiction of the CLEO for where the NFA item will be maintained, that responsible person will need to send an additional copy of form 5320.23 to their respective CLEO.

**INCLUDED EXAMPLE FORMS**

- BATFE Form 4 (5320.4)
- BATFE Form 5320.23
- FBI Form FD-258

**INCLUDED BLANK FORMS**

- (2) BATFE Form 4s (5320.4)
- (3) BATFE Form 5320.23s
TRUST EXAMPLE FORM
BATFE FORM 5320.4

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Application for Tax Paid Transfer and Registration of Firearm

ALT Control Number

Submit to IN PLACED BY
National Firearms Act Branch
Bureau of Alcohol, Tobacco, Firearms and Explosives, P.O. Box 502989, Atlanta, GA 30341-9898

8th Transferor: Name and Address (Include trade name, if any) (See instruction 2a)

THE JOHN TRUST
123 MAIN STREET
SALT LAKE CITY, UT 84116

3a. Transferee's Name and Address (Include trade name, if any) (Exceptions: see instruction 2a)

JOE DEALER
456 MAIN STREET
SALT LAKE CITY, UT 84116

3d. If Applicable: Decedent's Name, Address, and Date of Death

SIDNERCO, LLC
5511 S 6055 W
WEST VALLEY CITY, UT 84118

4a. Addressee and undersigned transfer fatally makes application as required by Sections 922(25) of the National Firearms Act to transfer and register the firearms described below to the transferee.

4b. Description of Firearm (Complete form to the extent applicable (See instruction 2a))

Name and Address of Maker, Manufacturer and/or Importer of Firearms

SILENCERCO, LLC
5510 S 6055 W
WEST VALLEY CITY, UT 84118

5a. Additional Description or Data Appearing on Firearms (Attach additional sheet if necessary)

5b. Transferee's Federal Firearms License (See your federal firearms license)

5c. Transferee's Special (Occupational) Tax Status (If any)

5d. City of Birth

5e. State of Birth

123456
78
10
01234
12-3456789
2

Under provisions of Section 18 of this Act, the transferee has also certified, and to the best of his knowledge and belief it is true, correct, and complete, and that the transfer of the described firearms to the transferee and receipt and possession of it by the transferee are not prohibited by the provisions of Title 18, United States Code; Title 26, United States Code; Title 19, or any provisions of state or local law.

Signature of Transferee: 

By Authority of the Director, the Application Has Been Examined, and the Transfer and Registration of the Firearms Described Have Been Authorized. When Applicable to the Transferees: 

Approved (With the following conditions, if any) 

Disapproved. (For the following reasons)

Signature of Authorized ATF Official

Signature of Authorized State Official

Previous Editions are Obsolete

ALT Copy

ATF 5320.4 (S1530-4) Revised May 2016

TRUST EXAMPLE FORM
BATFE FORM 5320.4

12. Law Enforcement Notification (See instruction 2a)

The transferee is required to provide notification of the proposed acquisition and possession of the firearms described on this Form 4 by providing a copy of the completed form to the chief law enforcement officer in the agency identified below.

Agency or Department Name

Name and Title of Official

Information for the Chief Law Enforcement Official

This form provides notification of the transferee's intent to acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may qualify the person from acquiring or possessing a firearm, please contact the NFA Branch at 586-2000. A "Y" entered in Items 14 through 16 or 18 or 16 can disqualify a person from acquiring or possessing a firearm. Also, ATF will not approve an application if the transfer or possession of the firearm is in violation of State or Local law.

13. Transferee Necessity Statement (See instruction 2a)

JOHN INDIVIDUAL, have a reasonable necessity to possess the machinegun, short-barreled rifle, short-barreled shotgun, or destructive device described in this application for the following purpose(s): ALL LAWFUL PURPOSES

AND possession of the device or weapon would be consistent with public safety (B18 USC 922(k) and 924(a)(3), 1988).

Transferor Questions (Complete Only When Transferee is an Individual)

14. Answer questions 14 through 17. (See instruction 16 and definition)

a. Are you a member of any org in any court for a felony, or any other crime, for which you judge imprisonment for more than one year? (See definition 1a)

b. Have you ever been convicted in any court for a felony, or any other crime, for which you judge imprisonment for more than one year? (See definition 1a)

c. Are you a fugitive from justice? (See definition 1f)

d. Are you an alien? (See definition 2a)

e. Have you drawn or discharged any firearm from a motor vehicle? (See definition 1h)

15. Country of Citizenship (Check one more than applicable. Nationality of the United States may check U.S.A. (See definition 19)

United States of America

Other Country/Countries specified:

17. Certification: Under penalties imposed by 18 USC, § 922 and 26 USC, § 5861, I certify that, upon submission of this Form 4, a complete copy of this form will be delivered to the chief law enforcement officer (CLEO) shown in Item 12, that the statements, as applicable, contained in this certification, and any attached documents in support thereof, are true and correct to the best of my knowledge and belief. NOTE: See instructions 2a(2) and 2a(3) for the items to be completed depending on the type of transferee.
114. Number of Responsible Persons (see definitions) associated with the transferee trust or legal entity 3

115. Provide the full name (printed or typed) below for each Responsible Person associated with the applicant trust or legal entity (if there are more than one Responsible Persons that can be listed on the form, attach a separate sheet listing the additional Responsible Persons). Please note that a completed Form 5320.4, National Firearms Act (NFA) Responsible Person Questionnaire, must be submitted with the Form 4 application for each Responsible Person.

Full Name
JOHN INDIVIDUAL
RESPONSIBLE PERSON 2
RESPONSIBLE PERSON 3

20. Method of Payment: [Check [ ] cash] (see instructions 3) (If paying by credit/debit card, complete the sections below)

| Check (Enclosed) | Cash (Enclosed) | Visa | Mastercard | American Express | Discover | Other/Club
|------------------|-----------------|------|------------|-----------------|---------|-------

Credit/Debit Card Number (to 16 digits)
Name as Printed on the Credit/Debit Card
Expiration Date (Month & year)

Address
City
State
Zip Code

Total Amount [ ]
200.00

I authorize ATF to charge my Credit/Debit Card the Tax Amount.

Signature of Cardholder

Date

Your cardholder/s will be charged the amount stated above and the Application will be reviewed by your cardholder/s.

If you are the current registrant of the firearms described on this form, please read the following information:

1. Firearms:

Estate Procedural: For possession regarding the transfer of firearms in an estate resulting from the death of the registrant identified in item 2a, the executor or the executor’s personal representative must be notified of the transaction by the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Nedly Road, Martinsburg, WV 25409.

Cheek Marks: As noted under Facseal Control Act, the registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Nedly Road, Martinsburg, WV 25409, in writing, at any change in the description of the firearm in item 2c.

Modification: If the firearm is modified to include a trigger guard, short-barreled rifle, short-barreled shotgun, or a disconcerting device, the registrant may be required to submit a U.S. C. §922(r) application to obtain permission from ATF prior to any transportation in interstate or foreign commerce.

ATF E-Form 5320.2c may be used to request this permission.

Restrictions on Possession: Any restriction (see approval block on face of form) on the possession of the firearm included in items 4c-4r continues with the further transfer of the firearm.

Persons Prohibited from Possessing Firearms: If the registrant becomes prohibited from possessing firearms which shall cause the NFA Branch for possession on hand to dispose of the firearms.

Proof of Registration: A person possessing a firearm registered as required by the NFA shall maintain proof of registration which shall be made available to any ATF officer upon request.

Paperwork Reduction Act Notice

This form meets the requirements of the Paperwork Reduction Act of 1995. The information supplied is used in applying to transfer transferrable firearms. Data is used to identify transferrer, transferee, and firearm and to ensure legality for transfer under Federal, State, and local laws. The furnishing of this information is mandatory (31 CFR 1240.50).

The estimated average burden associated with this collection of information is 1.7 hours per respondent or respondent, depending on individual circumstances. Concerns concerning the burden of this form and an opportunity to suggest that this burden should be addressed to Records Management Officer, Information Technology Division, for Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, D.C. 20224.

An agency may not conduct or sponsor, and persons are not required to respond to, a collection of information unless it displays a currently valid OMB control number.

ATF Copy

E-Form 5320.4

Revised May 2016

Public Law Information

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. §552a)(3):

1. Authority: Solicitation of this information is made pursuant to the National Firearms Act (26 U.S.C. §921). Disclosure of this information by the applicant is mandatory for transfer of transferrable firearms, unless the transfer is otherwise exempt from tax.

2. Purpose: To issue a tax stamp or the tax imposed by U.S. C. §5811 to ensure that the transferee would not be in violation of law, and to effect registration of the firearm.

3. Routine Uses: The information will be used by ATF to make the determinations set forth in paragraph 2. In addition, to effect registration of the firearms, information is used to identify the identification of the firearms, its sex, address, and registration or record number. This information is used by ATF in making determinations pursuant to the National Firearms Registration and Transfer Record. No information obtained from an application, registration, or record required to be submitted by an individual in order to make such determinations as provided in the National Firearms Registration and Transfer Record is subject to disclosure under Public Law 92-544 or otherwise. No information, other than as noted above, shall be used by another person or in other promotions or in any other manner. The information, by action, directly or indirectly, is an evidence against that person in any criminal proceeding with respect to a violation of law occurring prior to or concurrently with the filing of the application. The information from this application may only be disclosed to Federal authorities for purposes of prosecution for violation of the National Firearms Act.

4. Efforts of Not Satisfying Information Requested: Failure to supply complete information will delay processing and may cause denial of the application.

1. Definition:


b. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 479.

2. Gun Control Act (GCA): Title 18, United States Code, Chapter 44. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 479.

3. Firearms: The term "firearm" means: (1) A shotgun having a barrel or barrels of less than 18 inches in length; (2) A weapon made from a shotgun such as a sawed-off shotgun, where the overall length of the weapon is less than 26 inches or have a barrel or barrels of less than 18 inches in length; (3) A rifle having a barrel or barrels of less than 18 inches in length; (4) Any weapons made from a rifle such a sawed-off rifle, where the overall length of the weapon is less than 26 inches or have a barrel or barrels of less than 18 inches in length; or (5) Any weapon as defined in U.S. C. §921(a)(16), a machinegun, a firearm or bylaw to any firearm whether it is not such firearm is included within this definition; and (6) A destructive device.

4. Person: Any person, corporation, association, trust, commission, including such responsible persons associated with such an entity, an officer, or an individual.

5. Responsible Person: In the case of an unincorporated entity, including any trust, it is the trustee of the trust, or any individual, including any Urinary Control Company, Inc., corporation, or any individual who possesses, directly or indirectly, or under any arrangement, or by purchase, sale, transfer, barter, lending, or otherwise by disbursement of a firearm or in the case of a trust for any person who has the capability to exercise such power and purposes, directly or indirectly the power or authority under any present instrument, or under any law, to receive possession, transport, ship, transfer, deliver, or otherwise dispose of a firearm for the use or the benefit of the trust.

Note: The purpose of this definition is to apply to any person who has the capability to exercise such power and purposes, directly or indirectly, through the use or the benefit of a trust for any person who has the capability to exercise such power and purposes, directly or indirectly, the power or authority under any present instrument, or under any law, to receive possession, transport, ship, transfer, deliver, or otherwise dispose of a firearm for the use or the benefit of the trust.


7. Special (Occasional) Tax: Required by the NFA to be paid by a Federal Firearms Licensee engaged in the business of manufacturing (Class 2), importing (Class 1), or dealing (Class 3) of NFA firearms.

8. Federal Firearms License: A license issued under the provisions of the NFA to manufacture, import or deal in firearms.

9. ATF Officer: An officer or employee of the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) authorized to perform any function relating to the administration of the NFA.
TRUST EXAMPLE FORM
BATFE FORM 5320.23

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

National Firearms Act (NFA)
Responsible Person Questionnaire

Complete the form in duplicate. The ATF copy of the form, with fingerprints and photo, will be submitted with the ATF Form 1, 4, or 5 (in the address shown on the specific form) and the other copy will be retained by the responsible person's chief law enforcement official. (See Instructions)

1. Please check the appropriate box to indicate with which ATF Form this questionnaire will be submitted:
   - [ ] ATF Form 1
   - [ ] ATF Form 4
   - [ ] ATF Form 5

2. Name and Address of Applicant or Transferee (as shown on the ATF Form 1, 4 or 5 (see instructions 2))
   THE JOHN TRUST
   123 MAIN STREET
   SALT LAKE CITY, UT 84116
   JOHN INDIVIDUAL
   123 MAIN STREET
   SALT LAKE CITY, UT 84116

3a. Name and Mailing Address of Responsible Person
   JOHN INDIVIDUAL
   123 MAIN STREET
   SALT LAKE CITY, UT 84116

3b. Telephone (Area code and Number)
   (XXX) XXX-XXXX

3c. Email Address (optional)
   EMAILADDRESS@EMAIL.COM

3d. Other names used (including aliases)

4a. Type of Firearms (see definition 4)
   SILENCER

4b. Name and Address of Manufacturer and/or Importer of Firearms
   SILENCERCOCO
   5515 S 6055 W
   WEST VALLEY CITY, UT 84118

4c. Firearms Model
   22 Sparrow SS

4d. Caliber or Gauge
   22LR

4e. Firearms Serial Number
   XXX-XXXX-XX

3. Law Enforcement Notification (See instruction 3)
   As a responsible person (see definition 4) of the trust or legal entity identified in item 2 of this form, I am required to provide notification of the proposed making or acquiring and possession of the firearms described in item 4 of this form by providing a copy of the completed form to the chief law enforcement official (CLEO) in the agency identified below:

Agency or Department Name
   UTAH HIGHWAY PATROL

Name and Title of Official
   JAMES OFFICER, LAW ENFORCEMENT OFFICIAL

Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered)
   789 MAIN STREET
   SALT LAKE CITY, UT 84116

Information for the Chief Law Enforcement Officer
This form provides notification of the maker or transferee’s intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, you should have information that may disparately the person from making or possessing a firearm, please contact the NFA Branch at (801) 483-4589 or via Agent1 goia.gov.
A "No" answer to items 6b or item 7b or 7c would considerably a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transferee or possession of the firearms the in violation of State or Local Law.

ATF Copy

ATF E-Form 5320.23
Revised May 2016
TRUST EXAMPLE FORM
BATFE FORM 5320.23

TRUST EXAMPLE FORM
FBI FORM FD-258

Suppressed

13. Forfeiture from Justice. Any person who has fled from any State to avoid prosecution for a felony or a misdemeanor, or any person who leaves the State to avoid giving testimony in any criminal proceeding. The term also includes any person who knows that misdemeanor or felony charges are pending against such person and who leaves the State of prosecution.

14. Misdemeanor Crime of Domestic Violence. A Federal, State, local, tribal offense that is a misdemeanor under the Federal, State, or tribal laws and has as an element the use or attempted use of a physical force, or the threatened use of a deadly weapon, committed by a spouse or former spouse, parent, guardian, or custodian of the victim, by a person with whom the victim shares a child in common, by a person cohabiting with, or has cohabited with the victim as a spouse, parent, guardian, or custodian, or by a person similarly related to the victim. The term includes all misdemeanors that have as an element the use or attempted use of physical force or the threatened use of a deadly weapon (e.g., assault and battery), if the offense is committed by one of the defined parties. (See Exceptions in the definition of "Prohibited Persons"). A person who has been convicted of a misdemeanor crime of domestic violence who is not prohibited unless (1) the person was represented by a lawyer or gave up the right to a lawyer, or (2) if the person was not so represented, was tried by a jury, or gave up the right to a jury trial. Persons subject to this exception should mark "no" in the applicable box.

Privacy Act Information

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552a(c)(3)):

1. Authority: Solicitation of this information is made pursuant to the National Firearms Act (NFA) 26 U.S.C. §§ 5812 and 5822. Disclosure of this information by the applicant is mandatory for the making or transfer of an NFA firearm.

2. Purpose: To ensure payment of the tax imposed by 26 U.S.C. §§ 5811 and 5821, to ensure that the making or transfer would not violate law, and to effect the registration of the firearm.

3. Routine Use: The information will be used by ATF to make the determinations set forth in paragraph 2. In addition, to effect registration of the firearm, the description of the firearm, the date of registration, and identification and address of the person entitled to possess the firearm will be entered in the National Firearms Registration and Transfer Record.

4. Effect of not supplying information Requested Failure to supply complete information may delay processing and may cause denial of the application.

Paperwork Reduction Act Notice

This information request is in accordance with the Paperwork Reduction Act of 1995. The information provided is used in applying to or transfer NFA Firearms. Data is used to identify the maker, to transfer and transfer, and the firearm being made or transferred, and to ensure legality of the making or transfer.

The estimated burden associated with this collection of information is 30 hours per respondent or recordkeeper, depending upon individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Information Technology Coordination Staff, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.
Which Forms Do I Need?

If you’re filing as a Trust, use the following:
BATFE Form 4 (5320.4)
BATFE Form 5320.23
Not Included: FBI Form FD-258 (Fingerprints)
Not Included: Passport Photos

If you’re filing as an Individual, use the following:
BATFE Form 4 (5320.4)
Not Included: FBI Form FD-258 (Fingerprints)
Not Included: Passport Photos
12. Law Enforcement Notification (See instruction 2)

The transferee is to provide notification of the proposed acquisition and possession of the firearm described on this Form 4 by providing a copy of the completed form to the chief law enforcement officer in the agency identified below:

Agency or Department Name
Name and Title of Official
Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mail or delivered)

Information for the Chief Law Enforcement Officer

This form provides notification of the transferee’s intent to acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify this person from acquiring or possessing a firearm, please contact the NFA Branch at (301) 416-4198 or NFA (fiscal law). A “Yes” answer to item 14a through 14h or 16a or 16b could disqualify a person from acquiring or possessing a firearm. Also, ATF will not approve an application if the transfer or possession of the firearm is in violation of the State or local law.

13. Transferee Necessity Statement (See instruction 3a)

I, (Name and Title of Transferee)
(Alcohol, Tobacco, Firearms and Explosives (ATF) form)

...have a reasonable necessity to possess the machinegun, short-barreled rifle, short-barreled shotgun, or destructive device described on this application for the following reason(s):

...and my possession of the device or weapon would be consistent with public safety (18 U.S.C. § 923(b)(4) and 27 CFR § 478.99).

14. Answer questions 14a through 14h. Answer questions 14i through 15i if applicable. For any “Yes” answer the transferee shall provide details on a separate sheet. (See instruction 7 and definition)

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
<th>Photograph</th>
<th>Alipay Recent Photograph Here (Approximately 2&quot; x 2&quot;) (See instruction 5g)</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>Any you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition (a))</td>
<td>0</td>
<td>O</td>
<td>O</td>
</tr>
<tr>
<td>b.</td>
<td>Have you ever been convicted in any court for a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a suspended sentence including probation? (See definition (a))</td>
<td>O</td>
<td>O</td>
<td>O</td>
</tr>
<tr>
<td>c.</td>
<td>Are you a fugitive from justice? (See definition (la))</td>
<td>O</td>
<td>O</td>
<td>O</td>
</tr>
<tr>
<td>d.</td>
<td>Are you an unlawful user of or addicted to any controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law, regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside. (See definition (a))</td>
<td>O</td>
<td>O</td>
<td>O</td>
</tr>
<tr>
<td>e.</td>
<td>Have you ever been adjudicated a mental defective or have you ever been committed to a mental institution? (See definitions (a) and (a))</td>
<td>O</td>
<td>O</td>
<td>O</td>
</tr>
<tr>
<td>f.</td>
<td>Have you been discharged from Armed Forces under dishonorable conditions?</td>
<td>O</td>
<td>O</td>
<td>O</td>
</tr>
<tr>
<td>g.</td>
<td>Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition (a))</td>
<td>O</td>
<td>O</td>
<td>O</td>
</tr>
<tr>
<td>h.</td>
<td>Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition (a))</td>
<td>O</td>
<td>O</td>
<td>O</td>
</tr>
<tr>
<td>i.</td>
<td>Country of Citizenship (Check every one applicable). National of the United States may check U.S. (See definition (a))</td>
<td>O</td>
<td>O</td>
<td>O</td>
</tr>
</tbody>
</table>

15. United States of America

Other Country/Countries (specify)

16. Have you ever been convicted of a crime punishable by imprisonment for one year or more? (See definition (a))

Yes | No | O | O | O | O

17. If you are a alien, record your U.S.-issued Alien or Admission number (A#, USCIS or INS, 1949)

18. Number of Responsible Persons (see definitions) associated with the transferee trust or legal entity

19. Provide the full name (printed or typed) below for each Responsible Person associated with the applicant trust or legal entity (If there are more than 3 Responsible Persons and can be listed on the form, attach a separate sheet listing the additional Responsible Person(s)). Please note that a completed Form 5320.23, National Firearms Act (NFA) Responsible Person Questionnaire, must be submitted with the Form 4 application for each Responsible Person.

<table>
<thead>
<tr>
<th></th>
<th>Full Name</th>
<th>Full Name</th>
<th>Total Amount</th>
<th>$</th>
</tr>
</thead>
</table>

20. Method of Payment (See instruction 1) (If paying by credit/debit card, complete the sections below)

- [ ] Check (Enclosed)
- [ ] Cashier’s Check or Money Order (Enclosed)
- [ ] Visa
- [ ] Mastercard
- [ ] American Express
- [ ] Discover
- [ ] Dinners Club

Credit/Debit Card Number (No dashes)
Name as Printed on the Credit/Debit Card
Expiration Date (Month & Year)

<table>
<thead>
<tr>
<th></th>
<th>Address</th>
<th>City</th>
<th>State</th>
<th>Zip Code</th>
<th>Total Amount</th>
<th>$</th>
</tr>
</thead>
</table>

Signature of Cardholder
Date

Your credit card will be charged the above stated amount upon receipt of the application. The charge will be reflected on your creditcard account statement. In the event your application is not approved, the above amount will be credited to the credit/debit card issued above.

Important Information for Currently Registered Firearms

If you are the current registrant of the firearm described on this form, please note the following information.

Estate Procedure: For procedures regarding the transfer of firearms to an estate resulting from the death of the registrant identified in item 2a, the executor shall contact the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Nanyard Road, Martinsburg, WV 25405.

Change of Address: Unless currently licensed under the Gun Control Act, the registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms, and Explosives, 244 Nanyard Road, Martinsburg, WV 25405, in writing, of any change to the address in item 2a.

Change of Description: The registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms, and Explosives, 244 Nanyard Road, Martinsburg, WV 25405, in writing, of any change to the description of the firearm(s) in item 4.

Amendment Movement: If the firearm identified in item 4 is a machinegun, short-barreled rifle, short-barreled shotgun, or destructive device, the registrant may be required by U.S.C. § 922(e) to obtain permission from ATF prior to any transportation in interstate or foreign commerce. ATF Form F5320.20 can be used to request this permission.

Restrictions on Possession: Any restriction (see approval block on face of form) on the possession of the firearms identified in item 4 continues with the further transfer of the firearm.

Penalties for Possessing Firearms: If the registrant becomes prohibited from possessing a firearm, please contact the NFA Branch for procedures on how to dispose of the firearm.

Proof of Registration: A person possessing a firearm registered as required by the NFA shall retain proof of registration which shall be made available to any ATF officer upon request.

Paperwork Reduction Act Notice

This form meets the clearance requirements of the Paperwork Reduction Act of 1995. The information you provide is used in applying to transfer transferrable firearms. Data is used to identify transferees, transferees, and firearms, and to ensure legality for transfer under Federal, State and local laws. The furnishing of this information is mandatory (26 U.S.C. § 5812).

The estimated annual burden associated with this collection of information is 3.1 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestion for reducing this burden should be addressed to Reports Management Officer, Information Technology Coordination Staff, Bureau of Alcohol, Tobacco, Firearms, and Explosives, Washington, DC.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Signature of Transferee
ATF Copy
Date

ATF Form 4 (5/30/04) Revised May 2016

ATF Copy
ATF Form 4 (5/30/04) Revised May 2016
U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Application for Tax Paid Transfer and Registration of Firearm

ATT Control Number:

National Firearms Act Branch

SUBMIT IN DUPLICATE TO:
Bureau of Alcohol, Tobacco, Firearms and Explosives, P.O. Box 58298, Atlanta, GA 30328-0298

1. a. Transferee's Name and Address (Include trade name, if any) (See instructions 2a)
   2. b. e-mail address (optional)
   3. c. Transferee's Telephone (Area Code and Number)

The above-named and undersigned transferee hereby makes application as required by Section 5812 of the National Firearms Act to transfer and register the firearms described below to the transferee:

4. a. Description of Firearms (Complete items a through k) (See instruction 2a)
   b. Name and Address of Maker, Manufacturer and/or Importer of Firearms
   c. Type of Firearms (See definitions)
   d. Model
   e. Caliber or Gauge
   f. Length (Inches)
   g. Of Barrel
   h. Overall Length
   i. Serial Number
   j. Additional Description or Data Appearing on Firearms (Attach additional sheet if necessary)

5. a. Transferee's Federal Firearms License (If any)
   b. Transferee's Special Occupational Tax Status (If any)
   c. Type of Firearms (See instructions 2a)

6. a. Transferee's Special Occupational Tax Status (If any)
   b. Class
   c. Tax Rate

Under Penalties of Perjury, I declare that I have examined this application, and to the best of my knowledge and belief it is true, correct and complete, and that the transfer of the described firearms to the transferee and receipt and possession of it by the transferee are not prohibited by the provisions of Title 18, United States Code, Chapter 44, Title 26, United States Code, Chapter 53, or any provisions of State or local law.

7. Signature of Transferee (If not a corporation)

8. Name and Title of Authorized Official (Prime or type)

9. Date

The space below is for the use of the Bureau of Alcohol, Tobacco, Firearms and Explosives

At the bottom of the page, the applicant signs to indicate approval or disapproval of the transfer.
12. Law Enforcement Notification (See instruction 2f)

The transferee is to provide notification of the proposed acquisition and possession of the firearm described on this Form 4 by providing a copy of the completed form to the chief law enforcement officer in the agency identified below:

Agency or Department Name

Name and Title of Official

Address (Street address or P.O. Box, City, State and Zip Code) to which such mailed (or delivered)

13. Transferee Necessity Statement (See instruction 2c)

I, (Name and Title of Transferee), do hereby have a reasonable necessity to possess the machine gun, short-barreled rifles, short-barreled shotguns, or destructive device described in the application for the following reason(s):

and my possession of the device or weapon would be consistent with public safety. (18 U.S.C. § 923(b)(4) and 27 CFR § 478.98)

Transferee Questions (Complete Only When Transferee Is an Individual)

14. Answer questions 14a through 14f. Answer questions 14g through 14i, if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet. (See instruction 7f and definition 3a)

a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 16)

b. Have you ever been convicted in any court for a felony, or any other crime, for which the judge could imprison you for more than one year, even if you received a suspended sentence including probation? (See definition 16)

c. Are you a fugitive from justice? (See definition 1a)

d. Are you an unlawful user of or addicted to any controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.

15. Photograph

Alt's Photograph Here (Approximately 2.5" x 7""). (See instruction 2g)

b. Have you ever been adjudicated a mental defective OR have you ever been committed to a mental institution? (See definitions 2a and 1d)

c. Have you been discharged from the Armed Forces under dishonorable conditions?

d. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See definition 3b)

16. Country of Citizenship (Check one or more, if applicable). "National of the United States may check U.S.A." (See definition 1c)

United States of America

Other Country/Countries (specify)

17. If you are an alien, record your U.S.-issued Alien or Admission number (A#, USCIS or, [1949]

18. Number of Responsible Persons (see definitions) associated with the transferee trust or legal entity

19. Provide the full name (printed or typed) below for each Responsible Person associated with the applicant trust or legal entity (if there are more Responsible Persons than can be listed on the form, attach a separate sheet listing the additional Responsible Person(s)). Please note that a completed Form 5302-23, National Firearms Act (NFA) Responsible Person Questionnaire, must be submitted with the Form 4 application for each Responsible Person.

Full Name

20. Method of Payment (if check box) (See instruction 2f) (If paying by credit/debit card, complete the sections below)

☐ Check (Enclosed) ☐ Cashier's Check or Money Order (Enclosed)

☐ Visa ☐ Mastercard ☐ American Express ☐ Discover ☐ Dinner Club

Credit/Debit Card Number (No dashes)

Name as Printed on the Credit/Debit Card

Expiration Date (Month & Year)

Address:

Credit/Debit Card Billing Address:

City:

State:

Zip Code:

Signature of Cardholder

Your credit/debit card will be charged the above stated amount upon receipt of the application. The charge will be reflected on your creditcard statement. In the event your application is NOT approved, the above amount will be credited to the credit/debit card account above.

Important Information for Currently Registered Firearms

If you are the current registrant of the firearms described on this form, please note the following information.

Estate Procedure: For possessions regarding the transfer of firearms in an estate resulting from the death of the registrant identified in item 2a, the executor should contact the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, 244 Nandy Road, Martinsburg, WV 25405.

Change of Address: Unless currently licensed under the Gun Control Act, the registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms, and Explosives, 244 Nandy Road, Martinsburg, WV 25405, in writing, of any change to the address in item 2a.

Change of Description: The registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms, and Explosives, 244 Nandy Road, Martinsburg, WV 25405, in writing, of any change to the description of the firearm(s) in item 4.

Amendment: If the firearm identified in item 4 is a machinegun, short-barreled rifle, short-barreled shotgun, or destructive device, the registrant may be required by 18 U.S.C. § 921(25) to obtain permission from ATF prior to any transportation in interstate or foreign commerce. ATF E-Form 5302-20 may be used to request this permission.

Restrictions on Possession: Any restriction (as approval block on face of form) on the possession of the firearms identified in item 4 continues with the further transfer of the firearm.

Persons Prohibited from Possessing Firearms: If the registrant becomes prohibited from possessing a firearm, please contact the NFA Branch for procedures on how to dispose of the firearm.

Proof of Registration: A person possessing a firearm registered as required by the NFA shall retain proof of registration which shall be made available to any ATF officer upon request.

Paperwork Reduction Act Notice

This form meets the clearance requirements of the Paperwork Reduction Act of 1995. The information you provide is used to identify transferee, trans fer, and firearms, and to ensure legality for transfer under Federal, State and local laws. The furnishing of this information is mandatory (26 U.S.C. § 5811).

The estimated average burden associated with this collection of information is 3.18 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to Reports Management Officer, Information Technology Coordination Staff, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, D.C.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Signature of Transferee

ATF Copy

Date

ATF Form 4 (10-2004) Revised May 2016
**U.S. Department of Justice**  
**Bureau of Alcohol, Tobacco, Firearms and Explosives**

**National Firearms Act (NFA)**

**Responsible Person Questionnaire**

Complete the form in duplicate. The ATF copy of the form, with fingerprints on Form FD-258 and photograph, will be submitted with the ATF Form 1, 4, or 5 to the address shown on the specific form and the other copy will be directed to the responsible person's chief law enforcement officer. (See Instructions)

1. Please check the appropriate box to indicate with which ATF form this questionnaire will be submitted.
   - [ ] ATF Form 1
   - [ ] ATF Form 4
   - [ ] ATF Form 5

2. Name and Address of Applicant or Transferee (see above on the ATF Form 1, 4 or 5) (see instruction 3)

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3a. Name and Home Address of Responsible Person

3b. Telephone (Area code and Number)

3c. E-mail address (optional)

3d. Other names used (including maiden name)

4a. Type of Firearm (see definition 3)

4b. Name and Address of Maker, Manufacturer and/or Importer of Firearm

4c. Firearms Model

4d. Caliber or Gauge

4e. Firearm Serial Number

5a. Photograph

5b. Name of Attorney

5c. Photograph Here (Approximately 2" x 2") (see instruction 38)

5d. Affiliated Law Enforcement Officer (see instruction 5)

<table>
<thead>
<tr>
<th>Agency or Department Name</th>
<th>Title of Official</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Address (Street address or P.O. Box, City, State and Zip Code) to which sent (mailed or delivered)

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**Information for the Chief Law Enforcement Officer**

This form provides notification of the maker or transferee's intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disqualify the person from making or possessing a firearm, please contact the NFA Branch at (301) 861-6300 or NFA@atf.gov. A "Fire" answer to Items 6b or Item 7b or 7c could disqualify a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transfer or possession of the firearms would be in violation of State or local law.

ATF E-form 5300.23  
Revised May 2016
b. Answer questions 6 through 8. Answer questions 9 and 10 if applicable. For any "Yes" answer the transferee shall provide details on a separate sheet. (See definitions 9-12) Yes No
a. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See definition 9) No Yes
b. Have you been convicted in any court of a felony, or any other crime, for which the judge could imprison you for more than one year, even if you received a lighter sentence including probation? (See definition 9) No Yes
c. Are you a fugitive from justice? (See definition 13) No Yes
d. Are you an alien subject of, or are subject to, humanitarian, evacuation, repatriation, or other controlled substance? Warning: The act or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside. No Yes
e. Have you ever been adjudicated a mental defective or have been committed to a mental institution? (See definitions 9 and 10) No Yes
f. Have you been discharged by the Armed Forces under dishonorable conditions? No Yes
g. Are you subject to a court order restraining you from possessing, selling, or threatening your child or an intimate partner or child of such person? (See definition 13) No Yes
h. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See definition 14) No Yes
i. Country of Citizenship: [Check 1 line more than one. (Applicable: Nationals of the United States may check U.S.A.) (See definition 15)]
United States of America Yes Other Country/Countries (specify): Yes
b. Have you ever received your U.S. Citizenship? Yes No
c. Are you an alien illegally or fraudulently in the United States? No Yes
d. 1. Are you an alien who has been admitted to the United States under nonimmigrant visa? No Yes
e. 2. If "Yes", do you fall within any of the exceptions stated in the instructions? Attach the documentation to the questionnaire Yes No
f. Have you any alien, record your U.S.-Issued Alien or Admission number (A.R.K. USC 803, 1969): No Yes

CERTIFICATION: Under penalties of law, 18 U.S.C. § 922 and 26 U.S.C. § 1861, I certify that, upon submission of this form to the ATF, a completed copy of this form will be directed to the chief law enforcement officer (CLEO) shown in item 5, that the statements contained in this certification, and any attached documents in support thereof, are true and correct to the best of my knowledge and belief.

Signature of Responsible Person

Date

Instructions

1. Completion: Each responsible person (see definition 4) of the trust or legal entity seeking to make or acquire a National Firearms Act (NFA) firearm shall complete this form in duplicate. (see instruction 9)
a. Each responsible person must submit his/her fingerprints and photograph with this form. (see below)

2. Please note that this form is not required when the applicant on Form 4, 5 or 6 is an individual.

3. Item 2: Enter the name, trade name (if any) and address of the trust or legal entity identified on the Form 1 (items 3a and b), Form 4 (items 2a), or Form 5 (items 2a)

4. Item 3: Responsible Person information

a. Provide the information to the responsible person in items 3a through 3e

5. Item 3c: Photograph. The responsible person shall attach, in item 3e, on the ATF copy of the form, a 2-inch by 2-inch frontal-view photograph taken within one year prior to the date of the filing of the form. Item 3e is obscured on the CLEO copy.

6. Firearms information

a. Type of NFA firearm: see definition 5 and as identified in item 8 of Form 1, 4, or 5
b. Name of maker, manufacturer and/or importer: as identified in item 4a of Form 4, 1, or 5
c. Firearms Model: identified in item 4b of Form 1, 4, or 5
d. Caliber or Gauge: identical in item 4c of Form 1, 4, or 5

7. Law Enforcement Notification. Each responsible person must provide a notification on this form of the proposed making or acquisition of an NFA firearm to his/her chief law enforcement officer having jurisdiction where the responsible person is located. The chief law enforcement officer is considered to be the Chief of Police, the Sheriff, the Head of the State Police, or a State or local district attorney or prosecutor.

8. Complete items 8 through 10

9. Fingerprint: The responsible person shall submit, in duplicate, with this copy of the form, his/her fingerprints on Form FD-258 and the fingerprints must be clear for accurate classification and taken by someone properly equipped to take them. No fingerprints are required with the copy of the form sent to the chief law enforcement officer.

10. State or Local Permit. If the State to which the responsible person resides requires the responsible person to have a State or Local permit or license, a copy of the permit or license must be submitted with this form.

11. Disposition: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1, 4, or 5. The other copy shall be directed to the responsible person's chief law enforcement officer identified in item 5 of this form.

12. Sign and date the form. The signature must be original.

13. ATF Copy

14. ATF Form FD-258 Revised May 2016
b. Answer questions 6 through 8. Answer questions 7 and 8 if applicable. For any ‘yes’ answer the transferee shall provide details on a separate sheet.
   (See definitions 8-12)

   Yes   No

   a. Are you under indictment or in any county or local jurisdiction, for which the judge could imprison you for more than one year? (See definition 8)

   b. Have you been convicted in any court of a felony, or any other crime, for which the court could imprison you for more than one year, even if you received a shorter sentence including probation? (See definition 8)

   c. Are you a fugitive from justice? (See definition 13)

   d. Are you an alien seeking, or in possession of, marijuana, or any other controlled substance? Warning: The sale or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.

   e. Have you ever been adjudicated as a mental defective or insane? (See definitions 9 and 10)

   f. Have you ever been discharged from the Armed Forces under dishonorable conditions?

   g. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or intimate partner or child of such partner? (See definition 15)

   h. Have you ever been convicted in any court of a misdemeanor of domestic violence? (See definition 14)

   7a. Country of Citizenship: [Check List more than one. Applicable: Individuals of the United States may use U.S.A. (See definition 12)]

   United States of America
   Other Country/Countries (specify):

   Yes   No

   b. Have you ever renounced your United States citizenship?

   c. Are you an alien illegally or unlawfully in the United States?

   d. Are you an alien who has been admitted to the United States under a nonimmigrant visa?

   e. If ‘yes,’ do you fall within any of the exceptions stated in the instructions? Attach the documentation to the questionaire

   f. If you are an alien, record your U.S. -Issued Alien or Alien entry number (A/RK, USCINA, 1984 -)

   8. If you are an alien, record your U.S. -Issued Alien or Alien entry number (A/RK, USCINA, 1984 -)

   Certification: Under penalties imposed by 18 U.S.C. § 922 and 26 U.S.C. § 881, I certify that, upon submission of this form to ATF, a completed copy of this form will be directed to the chief law enforcement officer (CLEO) shown in item 5, that the statements contained in this certification, and any attached documents in support thereof, are true and correct to the best of my knowledge and belief.

   Signature of Responsible Person

   Date

   Instructions

   1. Completion: Each responsible person (see definition 4) of a trust or legal entity seeking to make or acquire a National Firearms Act (NFA) firearm shall complete this form in duplicate. (See instruction 9)

   a. Each responsible person must submit his fingerprint and photograph with this form (see below).

   b. Please note that this form is not required when the applicant on Form 1, 4 or 5 is an individual.

   2. Item 2: Enter the name, trade name (if any) and address of the trust or legal entity identified on the Form 1 (items 3a and b), Form 4 (item 2a), or Form 5 (item 2a)

   3. Item 3: Responsible person information

   a. Provide the information for the responsible person in items 3a through 3e.

   b. Item 3e: Photograph: The responsible person shall attach, in item 3e, on the ATF copy of the form only, a 2-inch by 2-inch frontal view photograph taken within one year prior to the date of the filing of the form. Item 3e is obscured on the CLEO copy.

   4. Firearms information

   a. Type of NFA firearm: see definition 5 and as identified in item 1b of Form 1, 4, or 5

   b. Name of maker, manufacturer and/or importer as identified in item 1b of Form 1, 4, or 5

   c. Firearm Model: identified in item 4d of Form 1, 4, or 5

   d. Caliber or Gauge: identified in item 4e of Form 1, 4, or 5

   e. Firearm Serial Number: identified in item 4f of Form 1, 4, or 5. Item 5e is obscured on the CLEO copy.

   5. Item 5: Law Enforcement Notification: Each responsible person must provide a notification on this form of the proposed making or acquisition of an NFA firearm to his chief law enforcement officer having jurisdiction where the responsible person is located. The chief law enforcement officer is considered to be the Chief of Police, the Sheriff, the head of the State Police, or a State or local district attorney or prosecutor.

   Complete items 6 through 8:

   6. Fingerprint: The responsible person shall submit, in duplicate with the ATF copy of this form, his or her fingerprints on FBI Form FDD-258 and the fingerprints must be free for accurate classification and usable by someone properly equipped to take them. No fingerprints are required with the copy of the form sent to the chief law enforcement officer.

   7. State or Local Permit: If the State in which the responsible person resides requires responsible person to have a State or Local permit or license, a copy of the permit or license must be submitted with this form.

   8. Authorization: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1, 4 or 5. The other copy shall be directed to the responsible person's chief law enforcement officer identified in item 5 of this form.

   9. Sign and date the form. The signature must be original.

   ATF Copy

   ATF Form 5320.23 Revised May 2016

   U.S. Department of Justice
   Bureau of Alcohol, Tobacco, Firearms and Explosives

   National Firearms Act (NFA)

   Responsible Person Questionnaire

   OMB No. 1140-0107 (06/30/2019)

   Complete this form in duplicate. The ATF copy of the form, with fingerprints on Form FDD-258 and photograph, will be submitted with the ATF Form 1, 4, or 5 to the address shown on the specific form and the other copy will be directed to the responsible person's chief law enforcement officer. (See Instructions)

   1a. Please check the appropriate box to indicate with which ATF form this questionnaire will be submitted

   ATF Form 1    ATF Form 4    ATF Form 5

   2. Name and Address of Applicant or Trustee (see on the ATF Form 1, 4 or 5) (see instruction 2)

   a. Name and Home Address of Responsible Person

   3a. Telephone (Area code and Number)

   3b. E-mail address (optional)

   3c. Other name(s) used (including married name)

   4a. Type of Firearm (see definition 3)

   5c. Photograph

   4b. Name and Address of Maker, Manufacturer and/or Importer of Firearms

   Affix recent Photograph Here

   (Approximately 2" x 2"

   (See instruction 5)

   4c. Firearm Model

   4d. Caliber or Gauge

   4e. Firearm Serial Number

   3. Law Enforcement Notification (see instruction 5)

   As a responsible person (see definition 4) of a trust or legal entity identified in item 2 of this form, I am required to provide notification of the proposed making or acquisition and possession of the firearm described in item 4 of this form by providing a copy of the completed form to the chief law enforcement officer (CLEO) in the agency identified below:

   Agency or Department Name

   Name and Title of Officer

   Address (Street address and P.O. Box, City, State and Zip Code) to which sent (mailed or delivered)

   Information for the Chief Law Enforcement Officer

   This form provides notification of the maker or transferee's intent to make or acquire and possess a National Firearms Act (NFA) firearm. No action on your part is required. However, should you have information that may disparage this person from making or possessing a firearm, please contact the NFA Branch at (301) 416-0100 or (800) 878-gov. A "yes" answer to items 6b or 7c may cause a person from acquiring or possessing a firearm. Also, ATF may not approve an application if the transfer or possession of the firearm would be in violation of State or local law.
## Mailing Checklist

Errors in your submission may cause delays. Follow the mailing checklist to ensure you haven’t missed any necessary steps. Contact SilencerCo if you encounter any problems.

### INDIVIDUAL

**CLEO MAILING CHECKLIST**

- [x] Photocopy of BATFE Form 4 (5320.4) (Application for Tax-Paid Transfer and Registration of Firearm)

**BATFE MAILING CHECKLIST**

- [x] $200 Check to Bureau of Alcohol, Tobacco, Firearms, and Explosives
- [ ] Original BATFE Form 4s (5320.4) (Application for Tax-Paid Transfer and Registration of Firearm)
- [ ] Original BATFE Form 4s (5320.4) (Application for Tax-Paid Transfer and Registration of Firearm)
- [ ] Original BATFE Form 5320.23 (National Firearms Act Responsible Person Questionnaire)
- [ ] Affix (1) Passport Photo to Item 15 of each Form 4, do NOT staple
- [ ] Original FBI Form FD-258s (Fingerprint Card)

### TRUST

**CLEO MAILING CHECKLIST**

- [x] Photocopy of Your BATFE Form 5320.23 (National Firearms Act Responsible Person Questionnaire)
- [ ] All responsible persons mail a copy of their $200.23 to their respective CLEO.

**BATFE MAILING CHECKLIST**

- [x] $200 Check to Bureau of Alcohol, Tobacco, Firearms, and Explosives
- [ ] Original BATFE Form 4s (5320.4) (Application for Tax-Paid Transfer and Registration of Firearm)
- [ ] Original BATFE Form 4s (5320.4) (Application for Tax-Paid Transfer and Registration of Firearm)
- [ ] Original BATFE Form 5320.23 (National Firearms Act Responsible Person Questionnaire)
- [ ] Affix (1) Passport Photo to Item 3e on Form 5320.23 do NOT staple
- [ ] Original FBI Form FD-258s (Fingerprint Card)

**Signature of Responsible Person**

Instructions:

1. **Compliance**: Each responsible person (see definition 4 of a trust or legal entity seeking to make or acquire a National Firearms Act (NFA) firearm) shall complete this form in duplicate. (see instruction 9)
   - Each responsible person must submit his/her fingerprints and photograph with this form (see below).
   - Please note that this form is not required when the applicant on Form 4 is an individual.

2. **Item 2**: Enter the name, trade name if any, and address of the trust or legal entity identified on the Form 1 (items 3a and b), Form 4 (items 2a and 2b), Form 5 (items 2a)

3. **Item 3**: Responsible Person Information
   - Provide the information for the responsible person in items 1a through 1e.
   - Item 3c: Photograph: The responsible person shall attach, in items 3c on the ATF copy of the form only, a 2-inch by 2-inch frontal-view photograph taken within one year prior to the date of the filing of the form. Item 3c is cleared on the CLEO copy.

4. **Firearms Information**
   - a. Type of NFA firearm: see definition 5 and as identified in item 1b of Form 1, 1a, or 1b
   - b. Name of maker, manufacturer and/or importer: as identified in item 1a of Form 1, 1a, or 1b
   - c. Firearm Model: identified in item 4d of Form 1, 1a, or 1b
   - d. Caliber or Gauge: identified in item 4c of Form 1, 1a, or 1b
   - e. Firearm Serial Number: identified in item 4g of Form 1, 1a, or 1b. Item 4c is cleared on the CLEO copy.

5. **Item 5**: Law Enforcement Notification: Each responsible person must provide a notification on this form of the proposal making or acquisition of an NFA firearm to his/her chief law enforcement officer having jurisdiction where the responsible person is located. The chief law enforcement officer is considered to be the Chief of Police, the Sheriff, the Head of the State Police, or a State or local district attorney or prosecutor.

6. **Complete items 6 through 8**

7. **Fingerprints**: The responsible person shall submit, in duplicate with the ATF copy of this form, his or her fingerprints on Form FD-258s and the fingerprints must be clear for accurate classification and taken by someone properly equipped to take them. No fingerprints are required with the copy of the form sent to the chief law enforcement official.

8. **State or Local Permit**: If the State in which the responsible persons resides requires the responsible persons to have a State or Local permit or license, a copy of the permit or license must be submitted with this form.

9. **Disposition**: The ATF copy of the form, with the fingerprints and photograph, shall be submitted with the ATF Form 1-4. The other copy shall be mailed to the responsible person’s chief law enforcement officer identified in item 5 of this form.

10. Sign and date the form. The signature must be original.